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# Double beta decay: Theory

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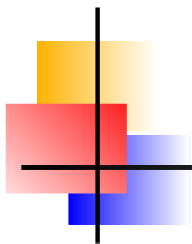
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*I.*

# Introduction



# $\beta\beta$ decay modes

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$$(Z, A) \Rightarrow (Z \pm 2, A) + 2e^{\mp} + X$$



# $\beta\beta$ decay modes

$$(Z, A) \Rightarrow (Z \pm 2, A) + 2e^{\mp} + 2\nu$$

$2\nu\beta\beta$  decay:

→ allowed within **Standard model**,  
2nd order process in Fermi theory

→ observed for **11** isotopes:

$^{48}\text{Ca}$ ,  $^{76}\text{Ge}$ ,  $^{82}\text{Se}$ ,  $^{96}\text{Zr}$ ,  $^{100}\text{Mo}$ ,  
 $^{116}\text{Cd}$ ,  $^{128,130}\text{Te}$ ,  $^{150}\text{Nd}$  and  $^{238}\text{U}$

**First** double beta plus decay:  $^{130}\text{Ba}$

→  $T_{1/2}^{2\nu\beta\beta} \sim 10^{(19-25)} \text{ys}$  ( $\sim G_F^4$ )

→ Important constraint for nuclear  
matrix element calculation



# $\beta\beta$ decay modes

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$$(Z, A) \Rightarrow (Z \pm 2, A) + 2e^{\mp}$$

$0\nu\beta\beta$  decay:

- violates lepton number by 2 units
- experimentally **not** observed
- $T_{1/2}^{0\nu\beta\beta}({}^{76}\text{Ge}) \gtrsim 10^{25}$  ys
- Current bounds limit neutrino mass scale to  
 $m_\nu \leq \mathcal{O}(0.5 - 1)$  eV
- Observation **implies physics beyond the standard model** of particle physics



# $\beta\beta$ decay modes

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$$(Z, A) \Rightarrow (Z \pm 2, A) + 2e^{\mp} + X$$

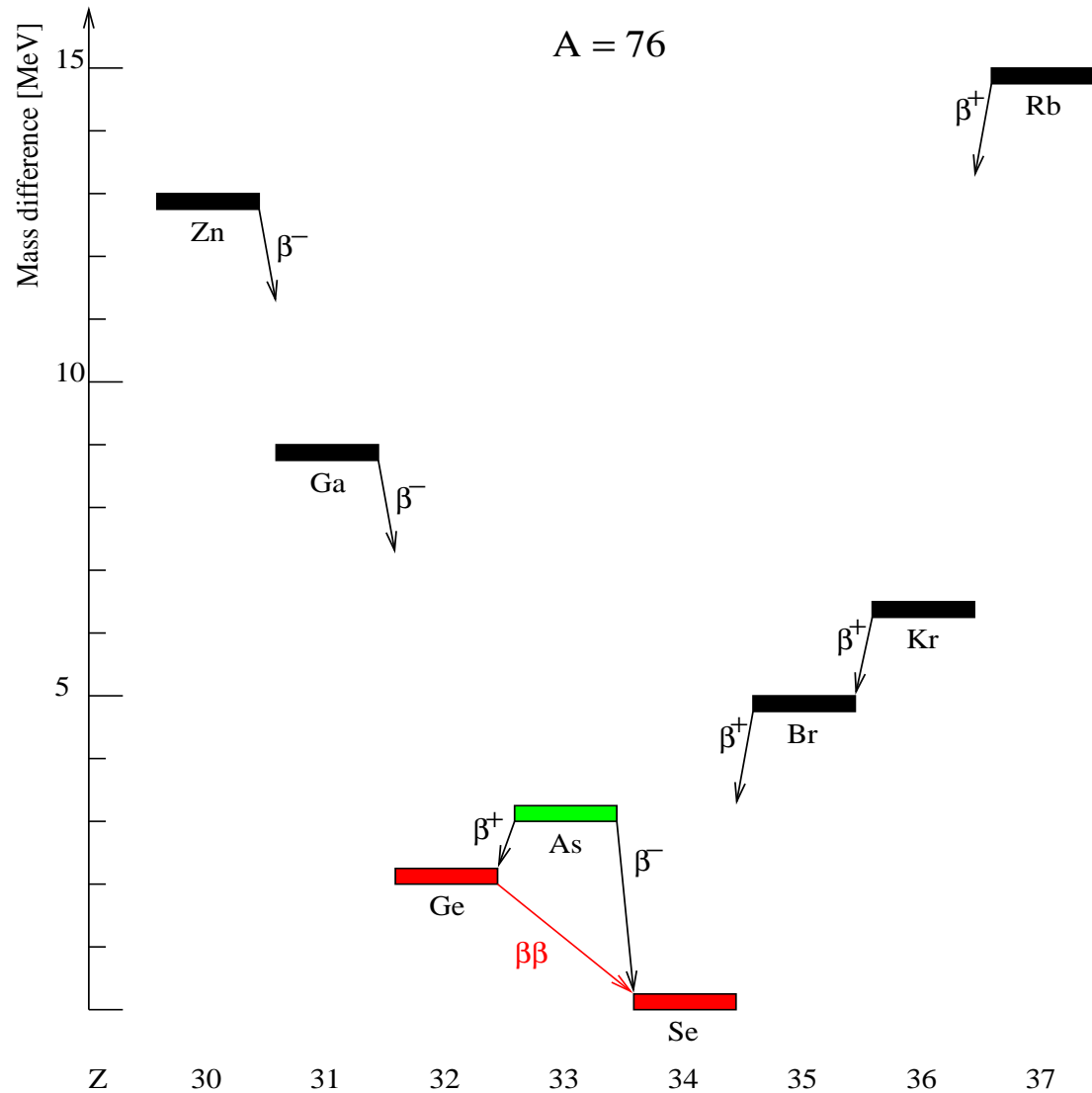
“Exotic” decays:

→ for example  $X = J$ , i.e. Majoron

→ experimentally **not** observed (and no rumours!)

→ Best limit from:  $T_{1/2}^{0\nu\beta\beta}(^{128}\text{Te}) \gtrsim \text{few } 10^{24} \text{ ys}$

# $\beta\beta$ -decay "candidates"



$^{76}\text{Ge}$  stable  
against  
 $\beta^-$  and  $\beta^+/\text{EC}$   
decay

Even-even isotopes  
have lower  
ground states:  
pairing force!

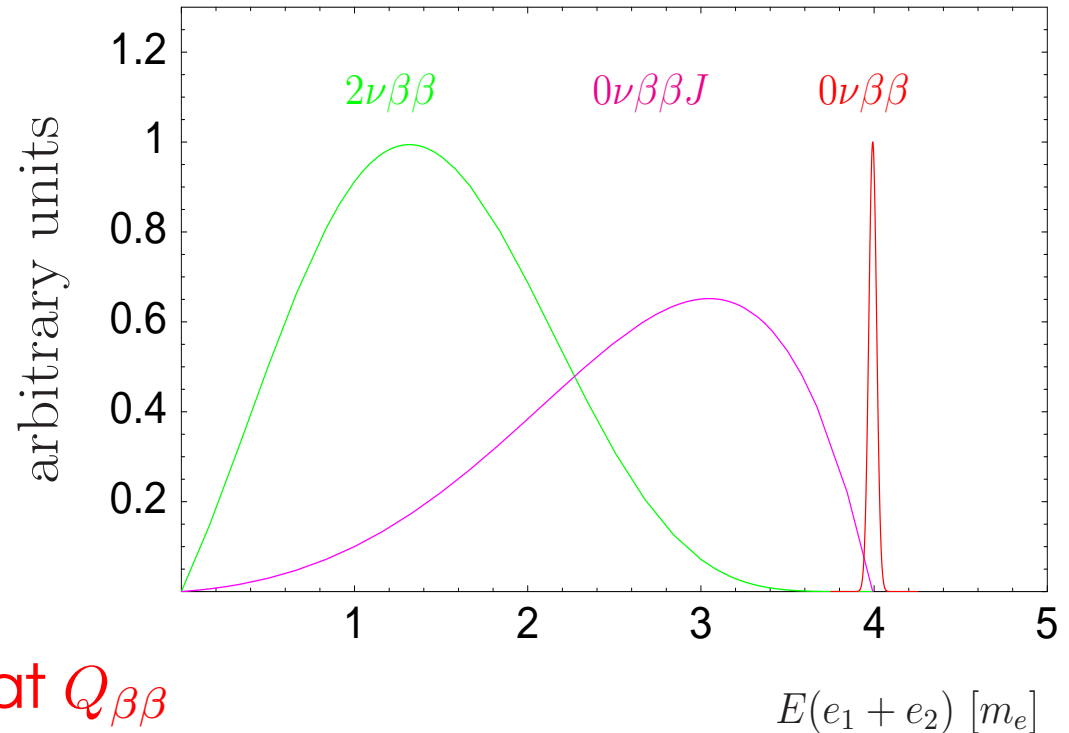
35  $\beta^- \beta^-$   
and 30  $\text{EC}/\text{EC}$   
candidates on the  
chart of nuclei

# Distinguish decay modes?

⇒ Neutrinos escape  
unmeasured,  $2\nu\beta\beta$   
decay continuum

⇒ Only electrons  
carry energy:

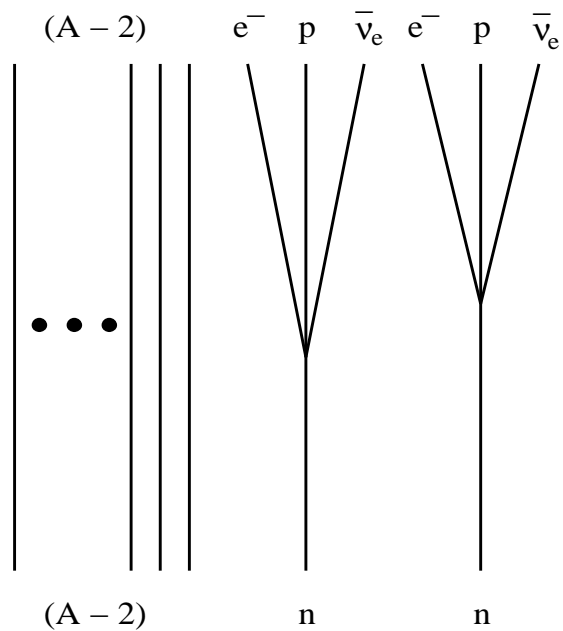
$0\nu\beta\beta$  decay peak at  $Q_{\beta\beta}$



⇒ Good energy resolution essential for experiments:  
 $2\nu\beta\beta$  decay is irreducible background for  $0\nu\beta\beta$   
decay experiments

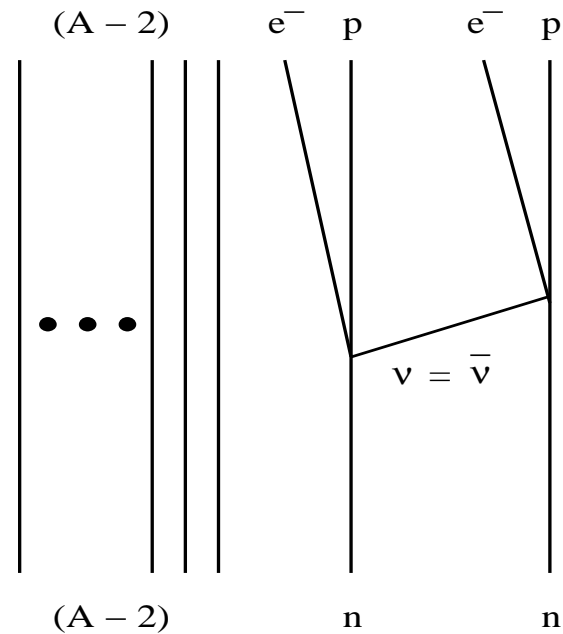
# $2\nu\beta\beta$ & $0\nu\beta\beta$ schematically

## $2\nu\beta\beta$ decay



Standard model allowed  
2nd order process:  
Amplitude  $\sim G_F^2$

## $0\nu\beta\beta$ decay



Only possible if:  
 $\nu = \nu^c$   
Amplitude  $\sim m_\nu G_F^2$



# Half-life

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$2\nu\beta\beta$  decay:

$$\left[ T_{1/2}^{2\nu\beta\beta} \right]^{-1} = G_{2\nu} |M_{GT}^{2\nu\beta\beta}|^2$$

$G_{2\nu}$  - phase space integral

$M_{GT}^{2\nu\beta\beta}$  - nuclear structure matrix element

$0\nu\beta\beta$  decay (mass mechanism):

$$\left[ T_{1/2}^{0\nu\beta\beta} \right]^{-1} = G_{0\nu} |\langle m_\nu \rangle|^2 |M_{m_\nu}^{0\nu\beta\beta}|^2$$

$G_{0\nu}$  - phase space integral

$M_{m_\nu}^{0\nu\beta\beta}$  - nuclear structure matrix element. Note:  $M_{m_\nu}^{0\nu\beta\beta} \neq M_{GT}^{2\nu\beta\beta}$

$\langle m_\nu \rangle$  - effective Majorana neutrino mass

⇒ Phase space can be calculated easily

⇒ Uncertainty completely dominated by  $M_{GT}^{2\nu\beta\beta}$ ,  $M_{m_\nu}^{0\nu\beta\beta}$



# Phase space integrals

Example  $2\nu\beta\beta$  decay:

Haxton & Stephenson, PPANP 12 (1984) 409

$$G_{2\nu} = \frac{a_{2\nu}}{\ln(2)} \int_{m_e}^{W_0 - m_e} k_1 \epsilon_1 F(Z, \epsilon_1) d\epsilon_1 \int_{m_e}^{W_0 - \epsilon_1} k_2 \epsilon_2 F(Z, \epsilon_2) d\epsilon_2 \int_0^{W_0 - \epsilon_1 - \epsilon_2} \nu_1^2 \nu_2^2 d\nu_1$$

$a_{2\nu}$  - physical constants

$\epsilon_i, k_i$  and  $\nu_i$  - energy and momenta of electrons and energy of neutrinos

$F(Z, \epsilon_i)$  - Coulomb corrections

Primakoff-Rosen approximation:

$$F(Z, \epsilon_i)_{\mp} = \pm \frac{\epsilon_i}{k_i} \frac{2\pi\alpha Z}{1 - e^{\mp 2\pi\alpha Z}}$$

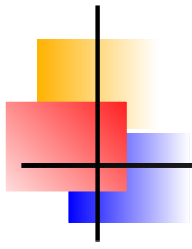
⇒ allows to solve integrals analytically, simple polynomial in

$$\tilde{T}_0 = (T_0/m_e) = (W_0 - 2m_e)/m_e$$

⇒ quick estimate, explains shape of spectrum

⇒ “exact” numerical integration, see

Doi, Kotani & Takasugi, Prog. Th. Phys. Suppl. 83 (1985) 1



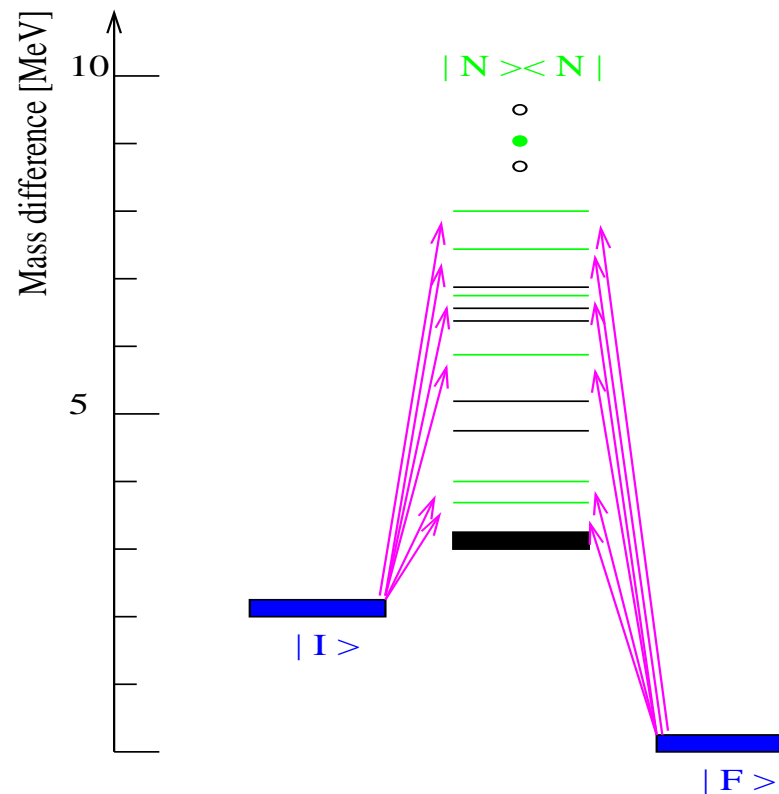
*II.*

# Nuclear matrix elements

# $2\nu\beta\beta$ decay matrix element

$$\mathcal{M}_{2\nu\beta\beta}^{GT} = \sum_N \frac{\langle F | \tau_- \sigma | | N \rangle \langle N | \tau_- \sigma | | I \rangle}{E_N + T_0/2 + m_e - E_I}$$

Graphically:





# $2\nu\beta\beta$ versus $0\nu\beta\beta$

$2\nu\beta\beta$ :

$$\mathcal{M}_{2\nu\beta\beta}^{GT,c} = \langle F || (\tau-\sigma) \langle E \rangle (\tau-\sigma) || I \rangle$$

Only  $1^+$   
Only  $GT$

$0\nu\beta\beta$ :

$$\mathcal{M}_{0\nu\beta\beta}^{GT,c} = \langle F || (\tau-\sigma) H_m(r_{ij}) (\tau-\sigma) || I \rangle$$

All  $J^\pi$  +  
Also  $F, T, \dots$

with:

$$\langle E \rangle = \frac{1}{\langle E_N \rangle + T_0/2 + m_e - E_I}$$

$$H_m(r_{ij}) = \frac{R}{2\pi^2} \int \frac{d\mathbf{q}}{\omega} \frac{e^{i\mathbf{q}\cdot r_{ij}}}{(\omega + T_0/2 + m_e + \langle E_N \rangle - E_I)}$$

Here, the so-called “closure approximation” has been used:

$$\sum_N \frac{| \langle N \rangle \langle N | }{E_N + T_0/2 + m_e - E_I} = \frac{1}{\langle E_N \rangle + T_0/2 + m_e - E_I}$$

Note that this is a **reliable** approximation for  $0\nu\beta\beta$  decay, but **not** so good in  $2\nu\beta\beta$  decay.



# Nuclear models

---

Basically nowadays two microscopic approaches to the **nuclear many body problem** are used in double beta decay:

**ISM**: Interacting Shell Model

For a recent review see: [E. Caurier et al, Rev. Mod. Phys. 77:427-488,2005;](#)  
[\(nucl-th/0402046\)](#)

**pn-QRPA**: proton-neutron Quasiparticle Random Phase Approximation

See, for example: [P. Ring and P. Schuck, "The nuclear many body problem"](#)  
[\(Springer, New York, 1980\)](#); explicit references for pn-QRPA in  $\beta\beta$ , see below



# Interacting Shell Model

All microscopic calculations based on the **Independent Particle shell model (IPM)** Starting point: Nucleons moving independently in a mean field:

$$U(r) = \frac{1}{2} \hbar \omega r^2 + D \vec{l}^2 + C \vec{l} \vec{s}$$

With (strongly attractive) spin-orbit term, able to explain magic numbers.  
Interactions between nucleons described by residual interactions:

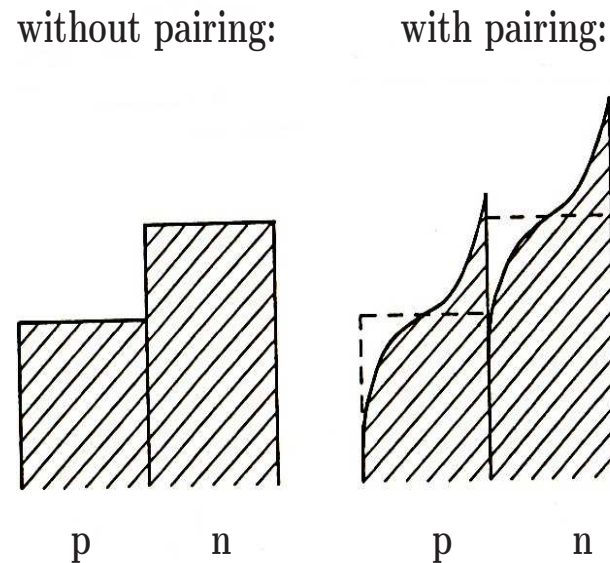
$$\mathcal{H} = \sum_{ij} \mathcal{K}_{ij} a_i^\dagger a_j - \sum_{i \leq j, k \leq l} \mathcal{V}_{ijkl} a_i^\dagger a_j^\dagger a_k a_l$$

⇒ Conceptually “simple”: Given a “good enough” residual interaction,  $\mathcal{V}_{ijkl}$  the problem is reduced to diagonalizing a matrix in a **sufficiently large model space**

# pn-QRPA: Pairing

Basic idea:

Most important part of residual interaction is the **pairing force**

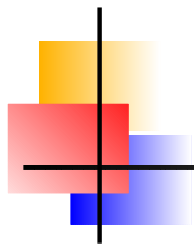


Occupation probability  
instead of:  
 $v^2 = 1$  (filled)  
 $v^2 = 0$  (empty)

⇒ Use **BCS theory** for pairing: Ground state consists of pairs of like-wise nucleons with  $J^\pi = 0^+$

⇒ Change to **quasi-particle basis**:  
Ground state is the **vacuum of quasi-particles**

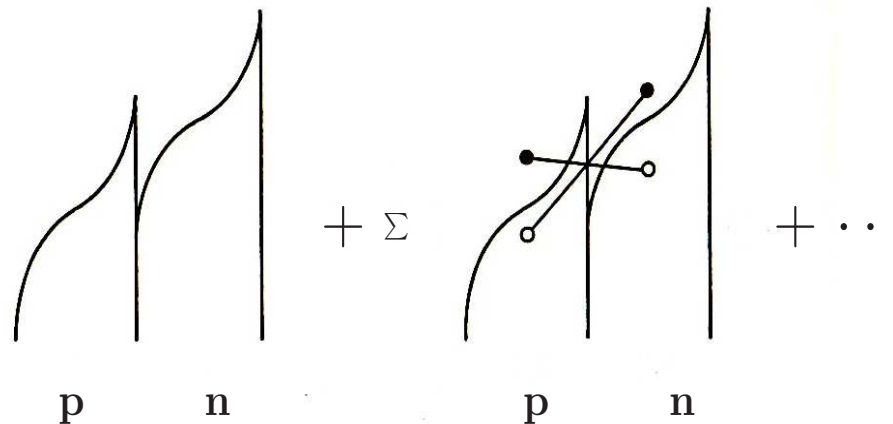
⇒ **Quasi-particle = a · Particle + b · Hole**      with  $a^2 + b^2 = 1$



# pn-QRPA

Random Phase Approximation:

ground state correlations  
in proton-neutron QRPA

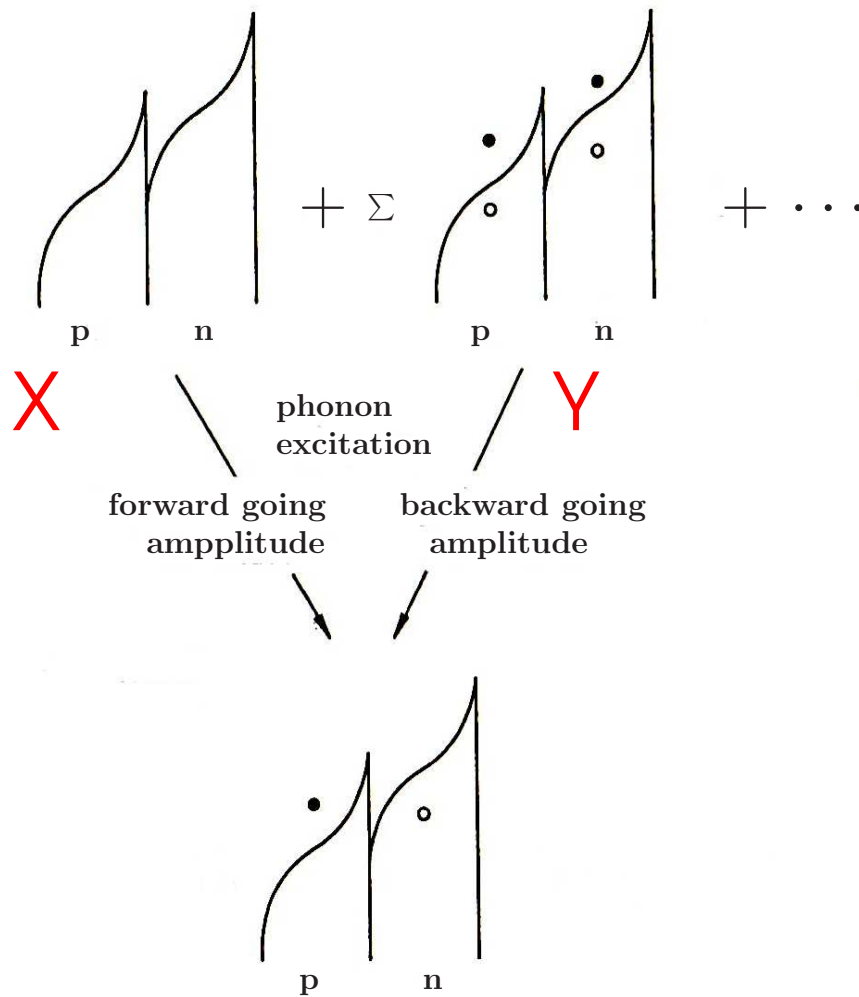


$$\Rightarrow |RPA\rangle = |BCS\rangle + \sum |2p2h\rangle + \dots$$

⇒ 2-Particle-2-Hole excitations lowest order correction

⇒ If ground state correlations look “randomly” distributed,  
RPA is good approximation

# QRPA Phonons



Charge-changing transition  
in pn-QRPA:  
particle-hole pair = **phonon**

Creation of ph-pair  
from  $|BCS\rangle$   
or destruction of ph-pair  
from  $|2p2h\rangle$   
can lead to same  
final state

Assumption:  
 $Y^2 \ll X^2$

- ⇒ Creation of phonon from  $|BCS\rangle$  **only overestimates** transition probability
- ⇒ particle-particle interactions enhance  $Y$ , reduce transition probability

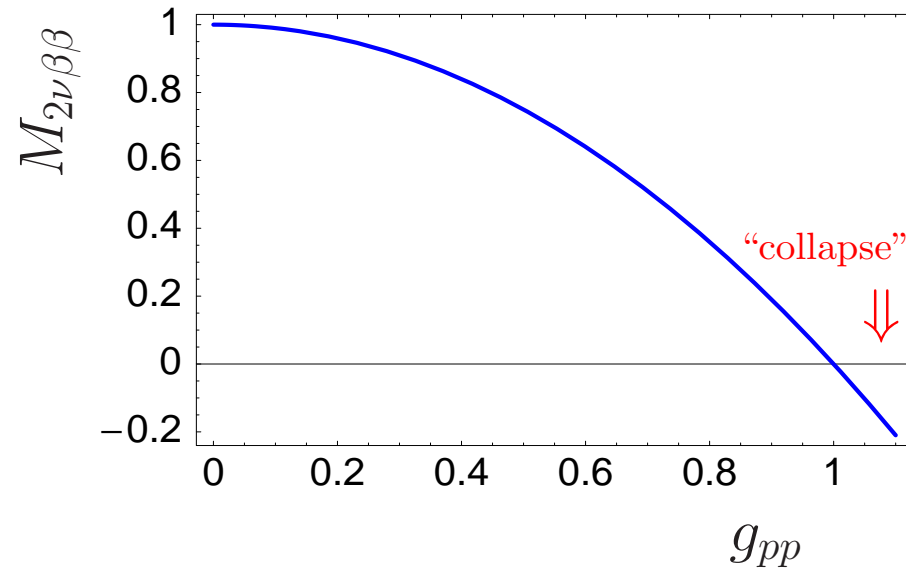


# *pn-QRPA versus ISM*

Comment:	pn-QRPA	-/+	ISM	-/+
Correlations	"lowest order"	-	everything	+
Model space	large	+	small	-
Parameter dependence	$g_{pp}$ (strong)	-	???	
Beyond closure	yes	+	no	-
Future improvements?	maybe		yes	++

# *pn-QRPA: $g_{pp}$ Problem*

Dependence of  $2\nu\beta\beta$  decay matrix element on  $g_{pp}$  schematically:



This figure  
not a real  
calculation!

$g_{pp} \simeq 1$  realistic + suppression of  $M_{2\nu\beta\beta}$  achieved, but:

$\Rightarrow Y \sim X$  at  $g_{pp} \geq 1$ , pn-QRPA "collapses"

$\Rightarrow$  Strong dependence of  $M_{2\nu\beta\beta}$  near  $g_{pp} \simeq 1$ , predictions difficult

$\Rightarrow$  "Fortunately"  $M_{0\nu\beta\beta}$  less dependent on  $g_{pp}$

# $T_{1/2}^{2\nu\beta\beta}$ : Test matrix elements

	Theory	Exp	NEMO-3	$\Delta\mathcal{M}$	Comment
$^{76}\text{Ge}$ ( $10^{21}$ y)	3.0	$(1.3 \pm 0.1)$		1.5	
$^{82}\text{Se}$ ( $10^{20}$ y)	1.1	$(0.92 \pm 0.1)$	$(0.96 \pm 0.1)$	1.1	no pred.
$^{96}\text{Zr}$ ( $10^{19}$ y)	1.1	$(1.4^{+3.5}_{-0.5})$	$(2.0 \pm 0.4)$	0.89	
$^{100}\text{Mo}$ ( $10^{18}$ y)	1.1	$(8.0 \pm 0.6)$	$(7.1 \pm 0.55)$	0.37	
$^{116}\text{Cd}$ ( $10^{19}$ y)	6.3	$(3.2 \pm 0.3)$	$(2.8 \pm 0.3)$	1.41	
$^{128}\text{Te}$ ( $10^{24}$ y)	2.6	$(7.2 \pm 0.3)$		0.60	no pred.
$^{130}\text{Te}$ ( $10^{21}$ y)	1.8	$(2.7 \pm 0.1)$	$(0.76 \pm 0.07)$	0.82	no pred.
$^{150}\text{Nd}$ ( $10^{18}$ y)	7.4	$(7.0^{+11}_{-0.3})$	$(9.7 \pm 1.2)$	1.03	

Theory: pn-QRPA K. Muto et al., Z.Phys. A 334 (1989) 177

Experimental data from review: S. R. Elliott and P. Vogel,  
Ann. Rev. Nucl. Part. Sci. **52**, 115 (2002)

NEMO-3: L. Vála for the NEMO Collaboration, arXiv:0710.5604

Definition of  $\Delta\mathcal{M} = \mathcal{M}^{\text{exp}} / \mathcal{M}^{\text{cal}}$  relativ to Exp

Average deviation for 8 (5) isotopes only 50 (60) % !



# Double electron capture

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A.P. Meshik et al., PRC64 (2001) 035205  
(geochemical):

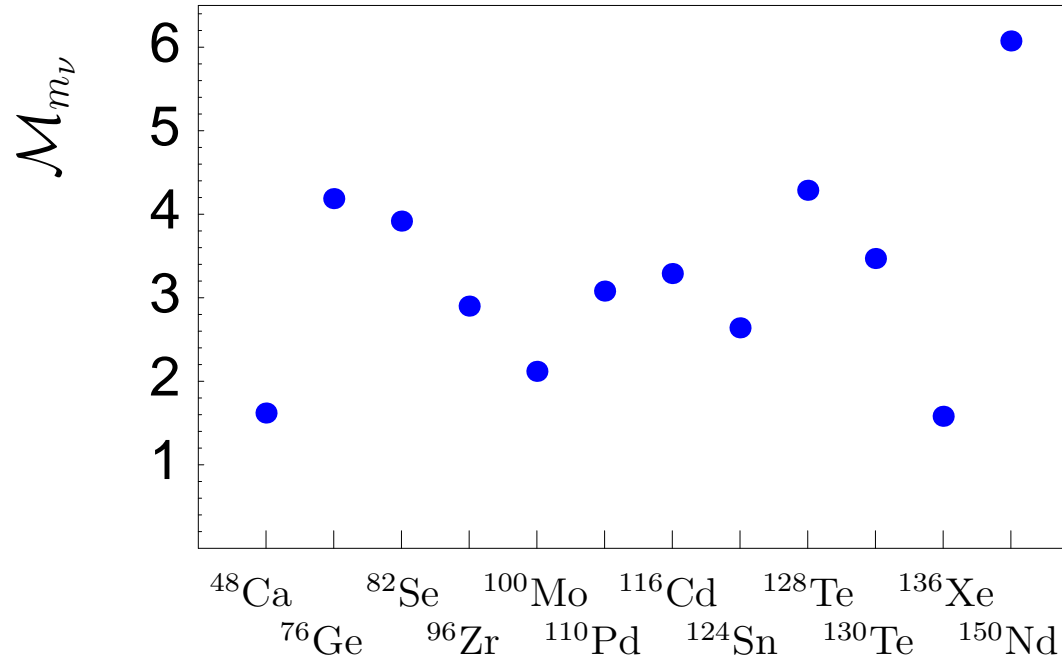
$$T_{1/2}^{\beta\beta}(^{130}\text{Ba}) = (2.2 \pm 0.5) \times 10^{21} \text{ y}$$

M. Hirsch et al., Z. Phys. A347 (1994) 151  
theoretical prediction:

$$T_{1/2}^{2\nu EC/EC}(^{130}\text{Ba}) = 4.2 \times 10^{21} \text{ y}$$

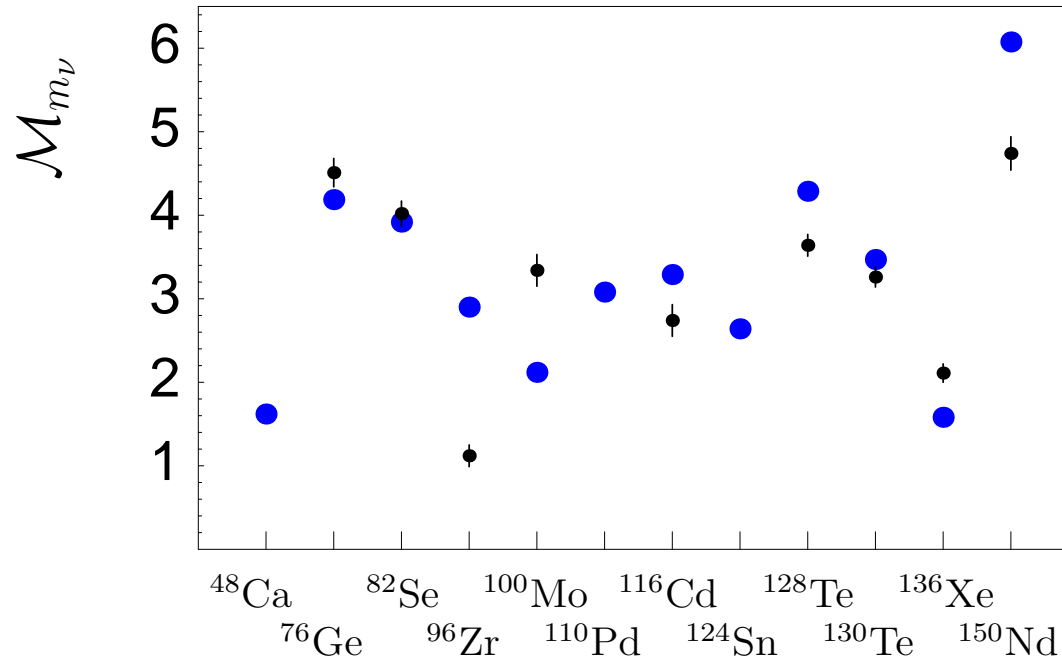
$$\Rightarrow \Delta\mathcal{M} = 1.38$$

# $0\nu\beta\beta$ M.E. uncertainty



blue (pn-QRPA): K. Muto et al., Z.Phys. A 334 (1989) 187; Z.Phys. A339 (1991) 435; Europhys. Lett. 13 (1990) 31

# $0\nu\beta\beta$ M.E. uncertainty

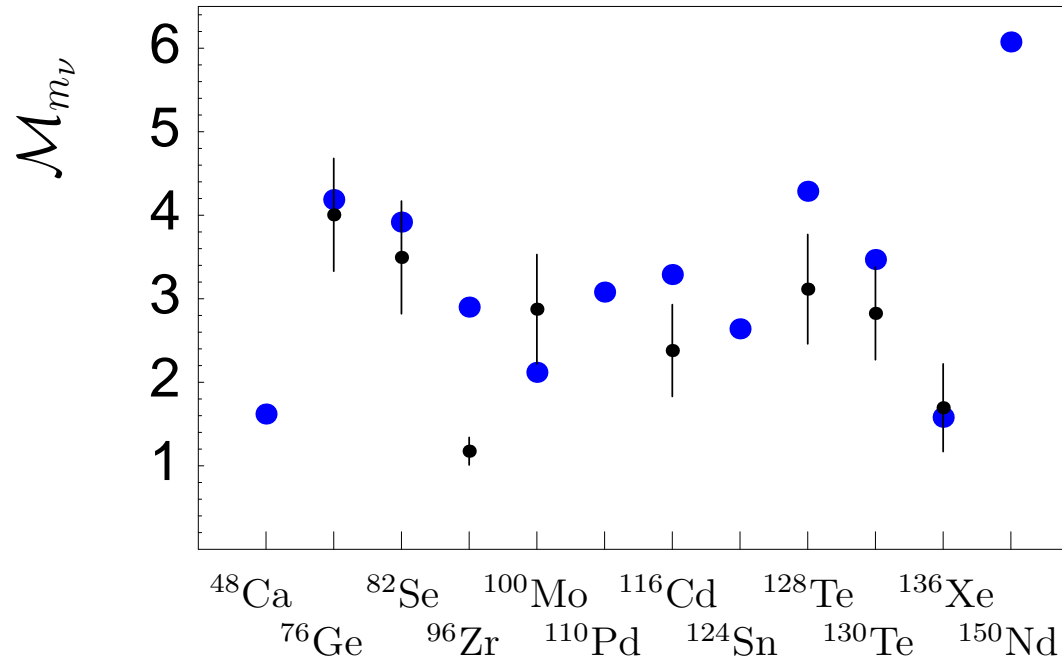


Error bars:  
only  $\Delta g_{pp}$   
from  $2\nu\beta\beta$  fit

blue (pn-QRPA): K. Muto et al., Z.Phys. A 334 (1989) 187; Z.Phys. A339 (1991) 435;  
Europhys. Lett. 13 (1990) 31

black (pn-QRPA): V. Rodin et al., Nucl.Phys. A766 (2006) 107 Erratum  
A793:213-215,2007

# $0\nu\beta\beta$ M.E. uncertainty

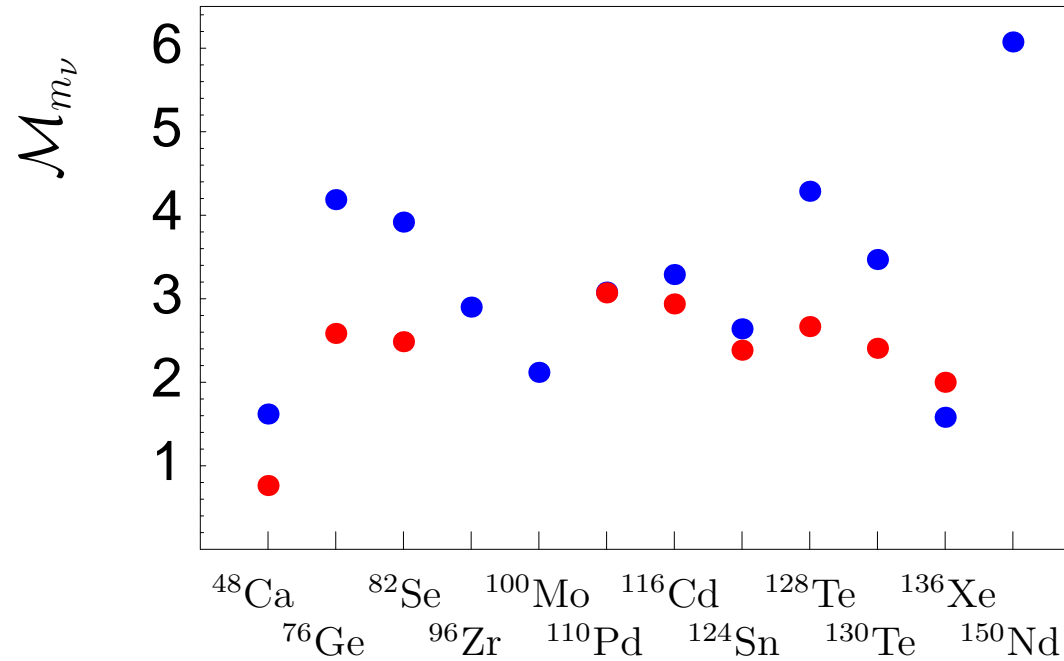


Error bars:  
from averaging  
20 calculations  
QRPA + RQRPA  
 $V_{\text{res}}$ 's  
+ model spaces  
+  $\Delta g_{pp}$  + SRC

blue (pn-QRPA): K. Muto et al., Z.Phys. A 334 (1989) 187; Z.Phys. A339 (1991) 435;  
Europhys. Lett. 13 (1990) 31

black (pn-QRPA): F. Simkovic et al., arXiv:0710.2055v3 (2 April 2008)

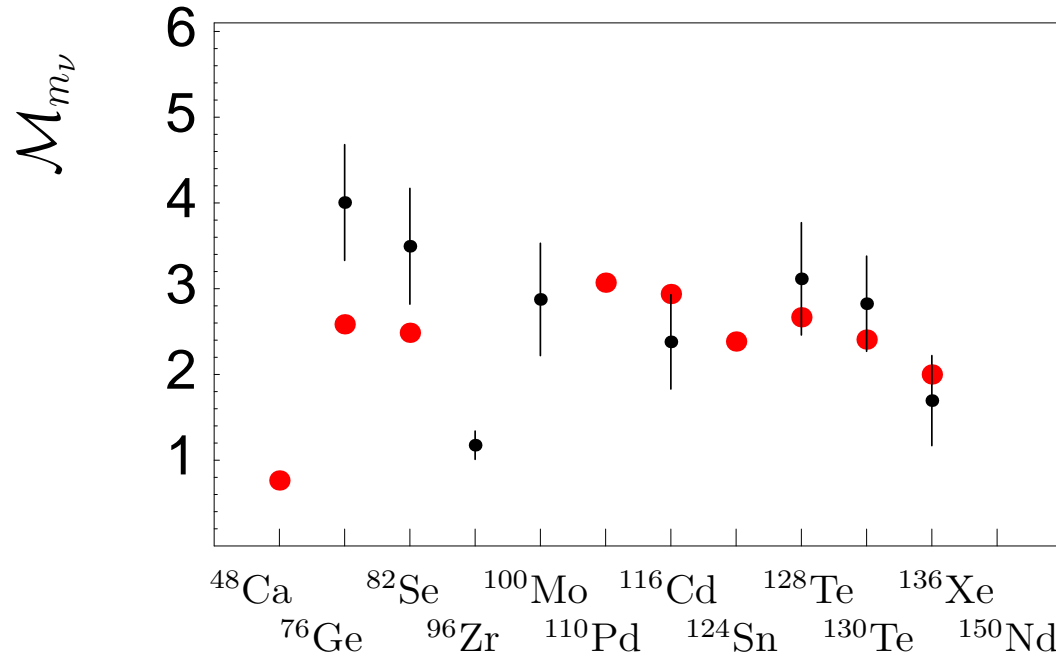
# $0\nu\beta\beta$ M.E. uncertainty



blue (pn-QRPA): K. Muto et al., Z.Phys. A 334 (1989) 187; Z.Phys. A339 (1991) 435;  
Europhys. Lett. 13 (1990) 31

red (ISM): E. Caurier et al.; Eur. Phys. J. A36 (2008) 195 (arXiv:0709.0277)

# $0\nu\beta\beta$ M.E. uncertainty



Error bars:  
from averaging  
20 calculations  
QRPA + RQRPA  
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# $0\nu\beta\beta$ M.E.: Summary

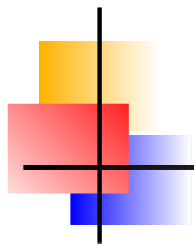
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## Good news:

- ⇒ Latest calculations agree within a **factor of 2** (or better)
- ⇒ Improvements possible in the future (ISM)

## Bad news:

- ⇒ ISM is (systematically?) lower than pn-QRPA
- ⇒ Disagreement in many details (SRC, HOC,  $\chi_F$ , etc...)
- ⇒ Only  $2\frac{1}{2}$  groups working on the problem



*III.*

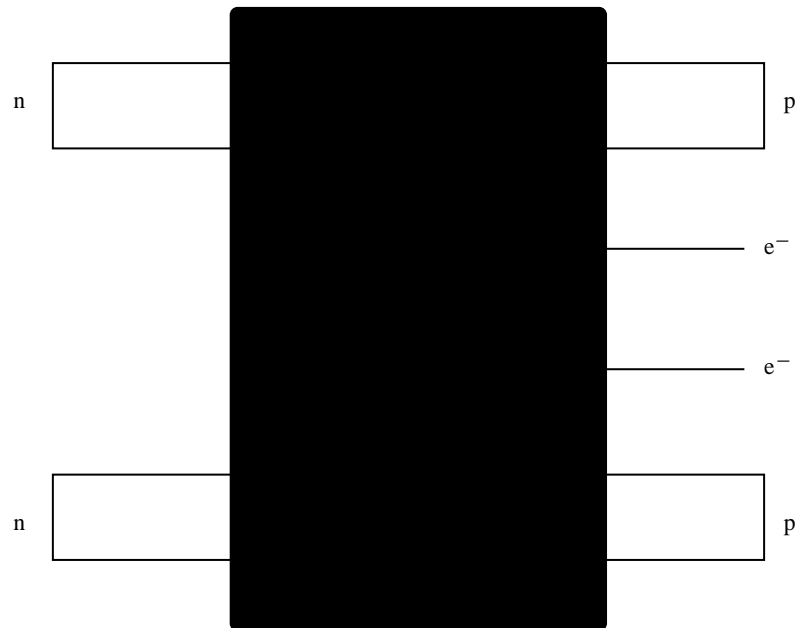
# Mass mechanism



# Mass mechanism

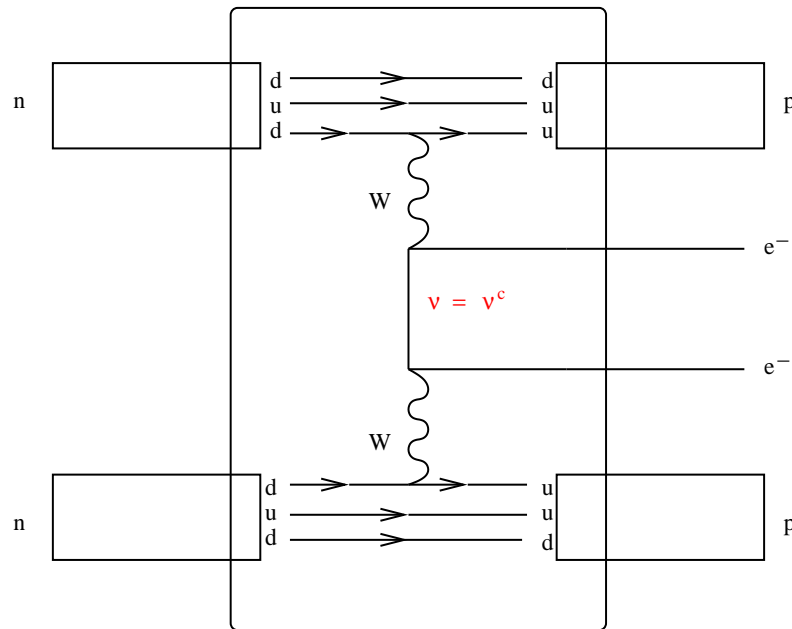
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Simplest possibility for a  $0\nu\beta\beta$  diagram:



# Mass mechanism

Simplest possibility for a  $0\nu\beta\beta$  diagram:



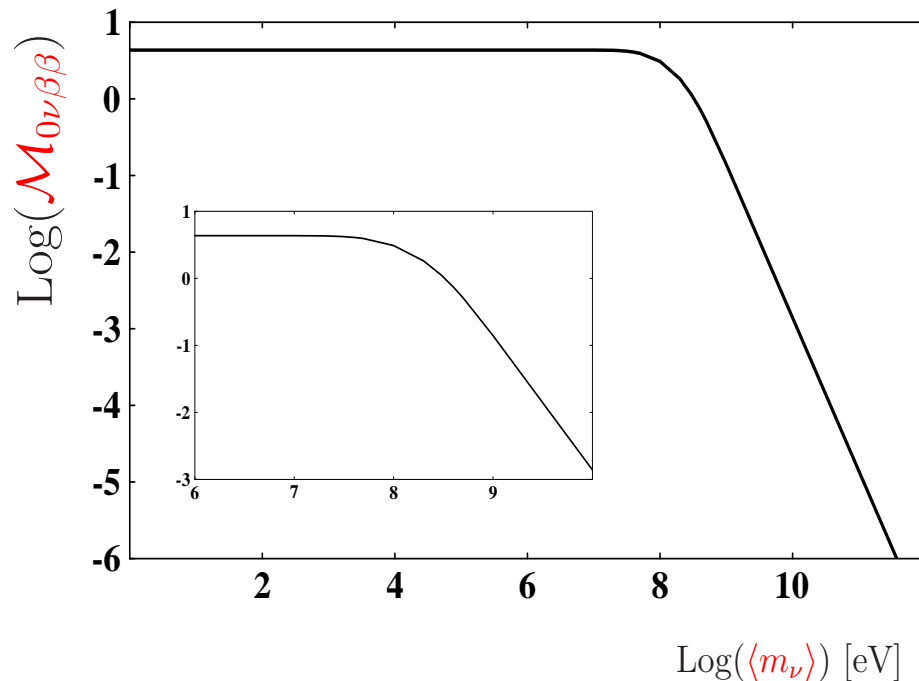
Neutrino propagator:

$$\int \frac{d^4 p}{(2\pi)^4} \frac{m_\nu + \not{p}}{p^2 - m_\nu^2}$$

“Mass mechanism” because weak interaction is left-handed:

$$P_L(m_\nu + \not{p})P_L = m_\nu P_L$$

# $\mathcal{M}_{0\nu\beta\beta}$ as function of $\langle m_\nu \rangle$

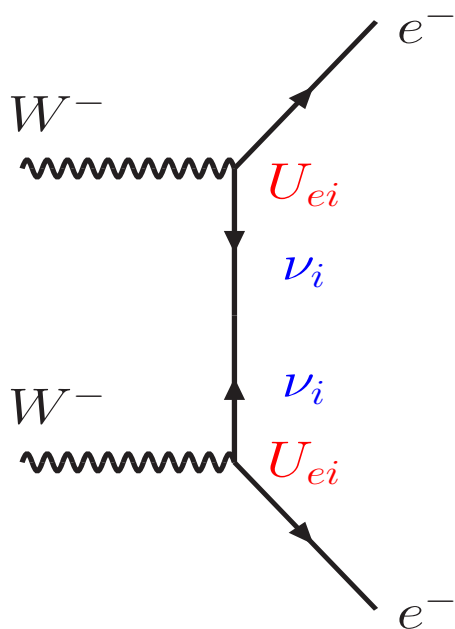


Separate nuclear and particle physics:

$$\text{Constant for small } m_\nu \quad \Rightarrow \quad T_{1/2} \sim m_\nu^{-2}$$

$$(\sim 1/m_\nu)^2 \text{ for large } m_\nu \quad \Rightarrow \quad T_{1/2} \sim m_\nu^2$$

# Neutrino mixing



Each vertex:

$$W_{\mu}^{-} \bar{e} \gamma^{\mu} P_L U_{ei} \nu_i$$

Full propagator reads:

$$U_{ei}^2 \int \frac{d^4 p}{(2\pi)^4} \frac{m_{\nu_i} + \not{p}}{p^2 - m_{\nu_i}^2}$$

Define in the limit of small neutrino masses:

$$\langle m_{\nu} \rangle = \sum_i U_{ei}^2 m_{\nu_i}$$



# $\langle m_\nu \rangle$ and $\nu$ spectrum

---

Neutrinos mix, thus:

$$\begin{aligned}\langle m_\nu \rangle &= \sum_j U_{ej}^2 m_j \\ &= c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 e^{i\alpha} m_2 + s_{13}^2 e^{i\beta} m_3\end{aligned}$$

A priori seven unknown quantities:

$\Rightarrow$  3 masses:  $m_i$

$\Rightarrow$  2 angles:  $\theta_{12}$  and  $\theta_{13}$

$\Rightarrow$  2 CP violating phases:  $\alpha$  and  $\beta$



# $\langle m_\nu \rangle$ and $\nu$ spectrum

---

Neutrinos mix, thus:

$$\begin{aligned}\langle m_\nu \rangle &= \sum_j U_{ej}^2 m_j \\ &= c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 e^{i\alpha} m_2 + s_{13}^2 e^{i\beta} m_3\end{aligned}$$

+ Neutrino oscillation data:

$\Rightarrow$  1 mass:  $m_{\nu_1} + \Delta m_{\text{Atm}}^2, \Delta m_{\odot}^2$

$\Rightarrow$  2 angles:  $\theta_{\odot}$  and  $\theta_R$

$\Rightarrow$  2 CP violating phases:  $\alpha$  and  $\beta$

# Inverse or normal hierarchy?

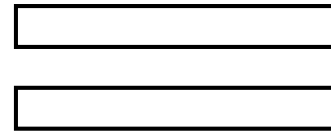
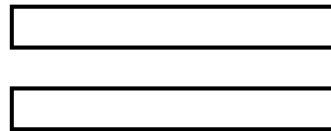
Both of the following can solve solar and atmospheric neutrino problems:

Normal hierarchy

Inverse hierarchy

$$\Delta m_{Atm}^2$$

$$\Delta m_{\odot}^2$$



$$\Delta m_{\odot}^2$$

$$\Delta m_{Atm}^2$$



# Expectations for $\langle m_\nu \rangle$ ?

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Current oscillation experiments can not tell difference between **normal** and **inverse** hierarchy. What is the expectation for  $0\nu\beta\beta$ ?

Normal

hierarchy:

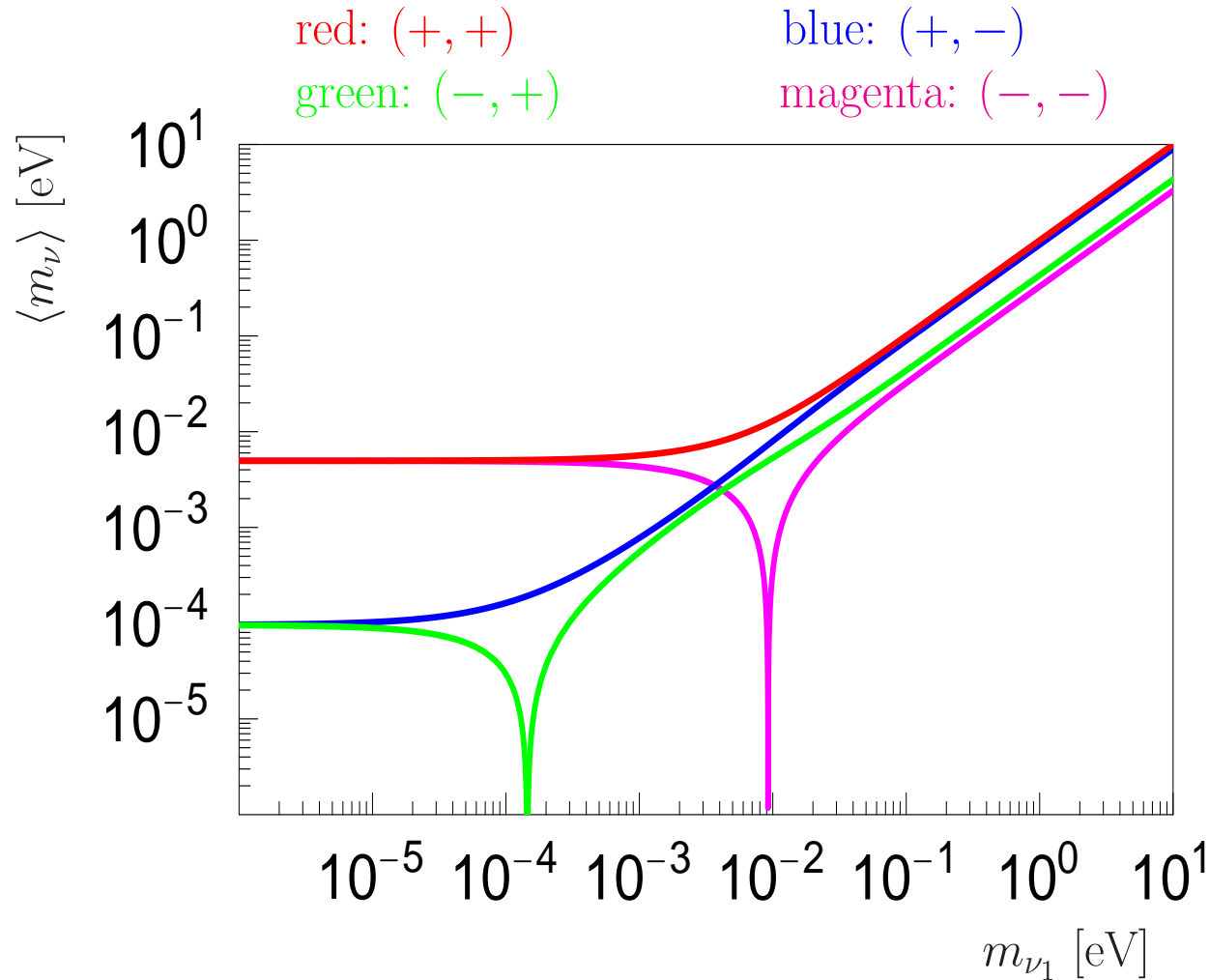
$$\langle m_\nu \rangle \simeq s_{12}^2 \sqrt{\Delta m_{\odot}^2} \simeq 3 \times 10^{-3} \text{ eV}$$

Inverse

hierarchy:

$$\langle m_\nu \rangle \simeq \sqrt{\Delta m_{Atm}^2} \simeq 5 \times 10^{-2} \text{ eV}$$

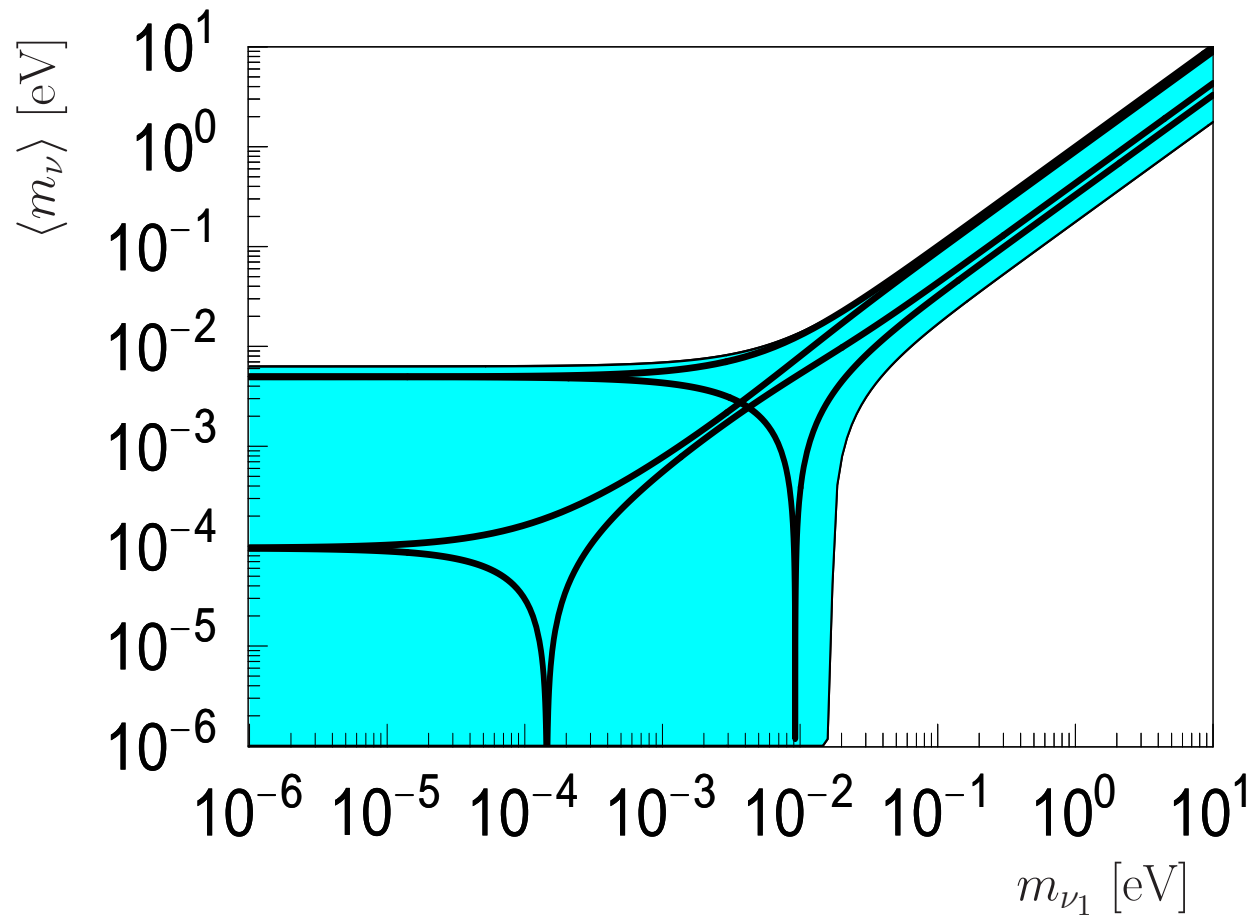
# $0\nu\beta\beta$ and $\nu$ oscillations



$$\Delta m_{Atm}^2 = 2.2 \cdot 10^{-3} \text{ eV}^2, \quad \Delta m_{\odot}^2 = 8.1 \cdot 10^{-5} \text{ eV}^2,$$

$$\sin^2 \theta_{\odot} = 0.3, \quad \sin^2 \theta_R = 0.051$$

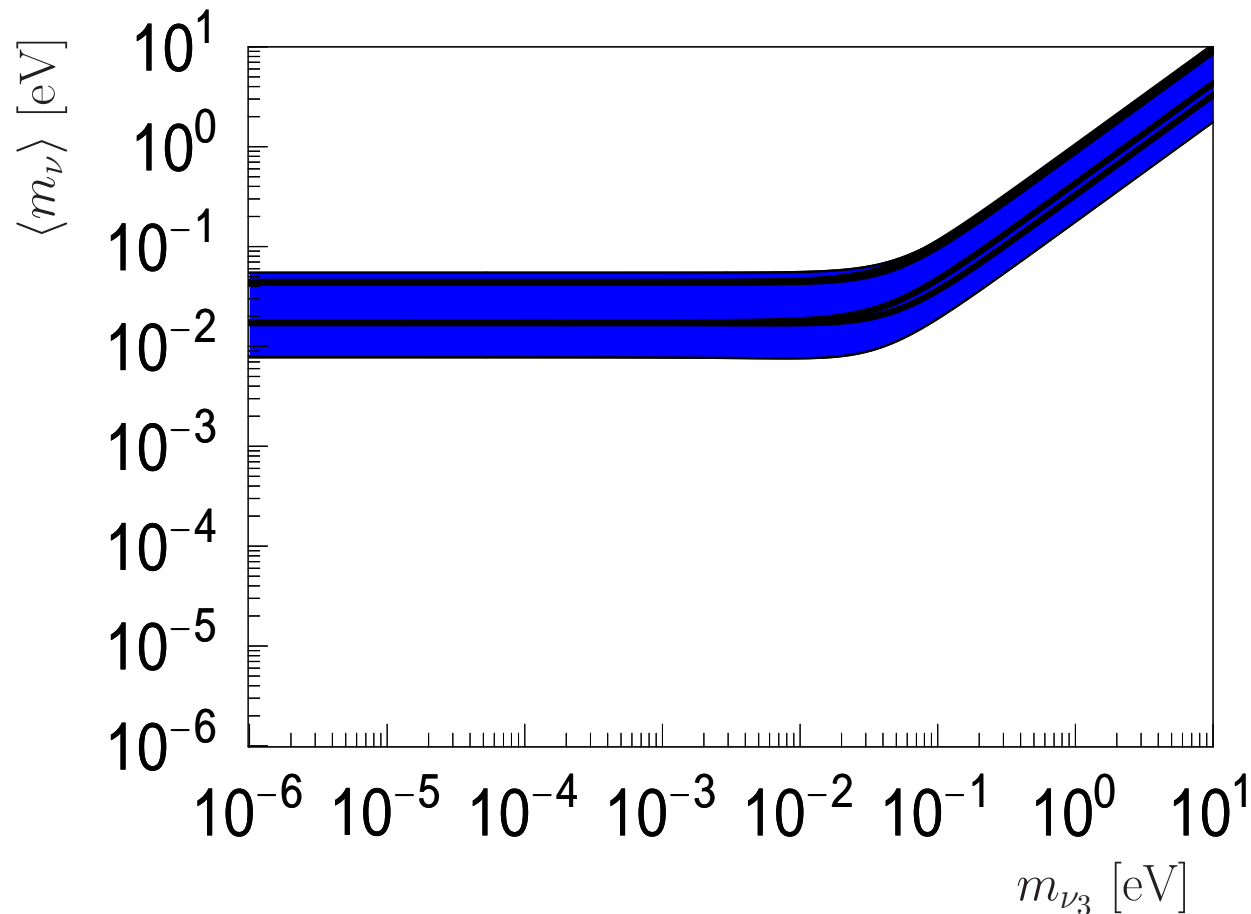
# $0\nu\beta\beta$ and $\nu$ oscillations



$$\Delta m_{Atm}^2 = [1.4, 3.3] \cdot 10^{-3} \text{ eV}^2, \quad \Delta m_{\odot}^2 = [7.2, 9.1] \cdot 10^{-5} \text{ eV}^2,$$

$$\sin^2 \theta_{\odot} = [0.23, 0.38], \quad \sin^2 \theta_R = [0, 0.051]$$

# Inverse hierarchy



$$\Delta m_{Atm}^2 = [1.4, 3.3] \cdot 10^{-3} \text{ eV}^2, \quad \Delta m_{\odot}^2 = [7.2, 9.1] \cdot 10^{-5} \text{ eV}^2,$$

$$\sin^2 \theta_{\odot} = [0.23, 0.38], \quad \sin^2 \theta_R = [0, 0.051]$$



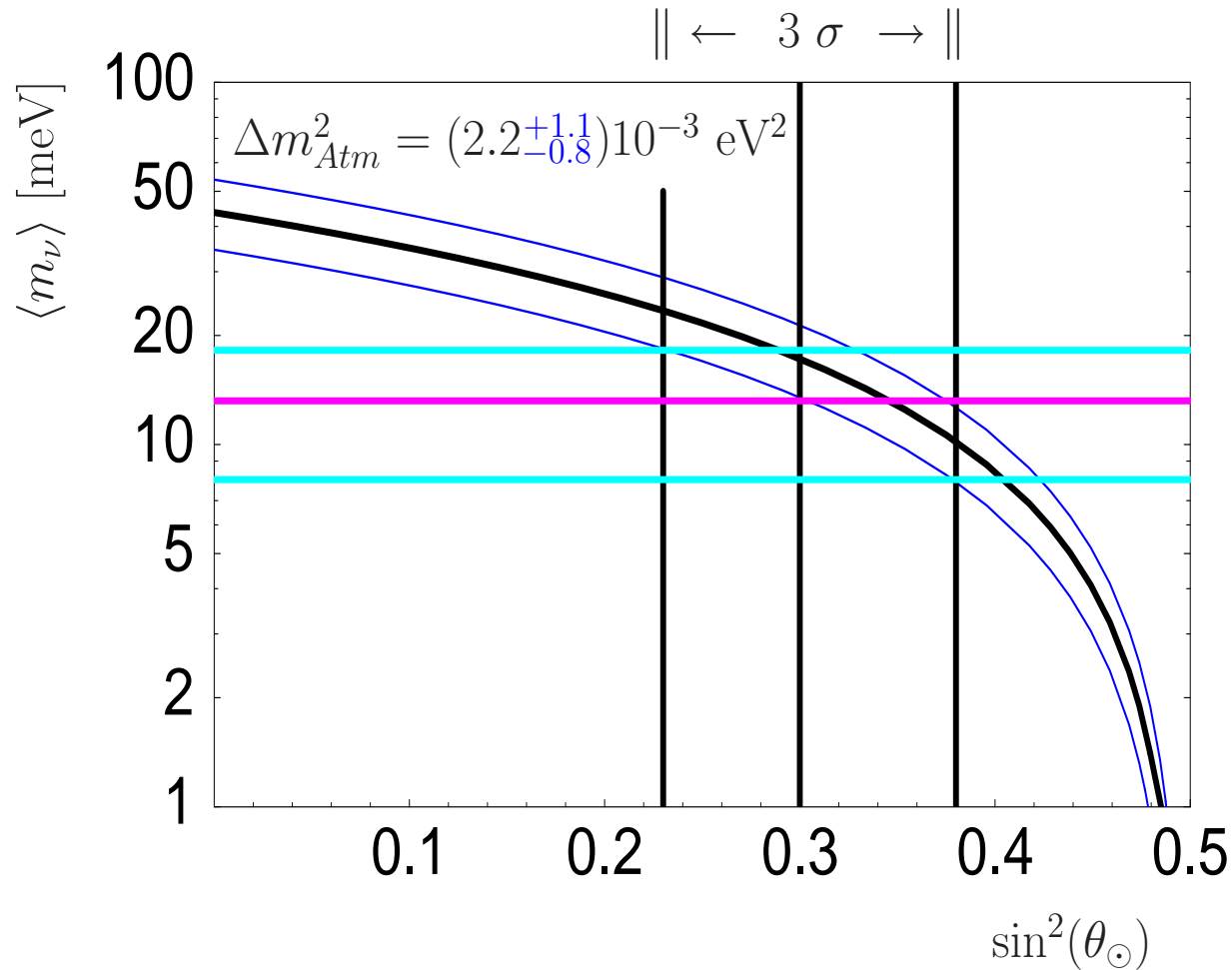
# Lower limit - inverse hierarchy

Recall:

$$\begin{aligned}\langle m_\nu \rangle &= \sum_j U_{ej}^2 m_j \\ &\simeq c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 e^{i\alpha} m_2 \\ &\sim (c_{\odot}^2 - s_{\odot}^2) \sqrt{\Delta m_{Atm}^2} \\ &\simeq 0.4 \cdot \sqrt{2.2 \cdot 10^{-3}} \text{ eV} \simeq 19 \text{ meV}\end{aligned}$$

⇒ Lower limit exists, if  $\theta_{\odot}$  non-maximal

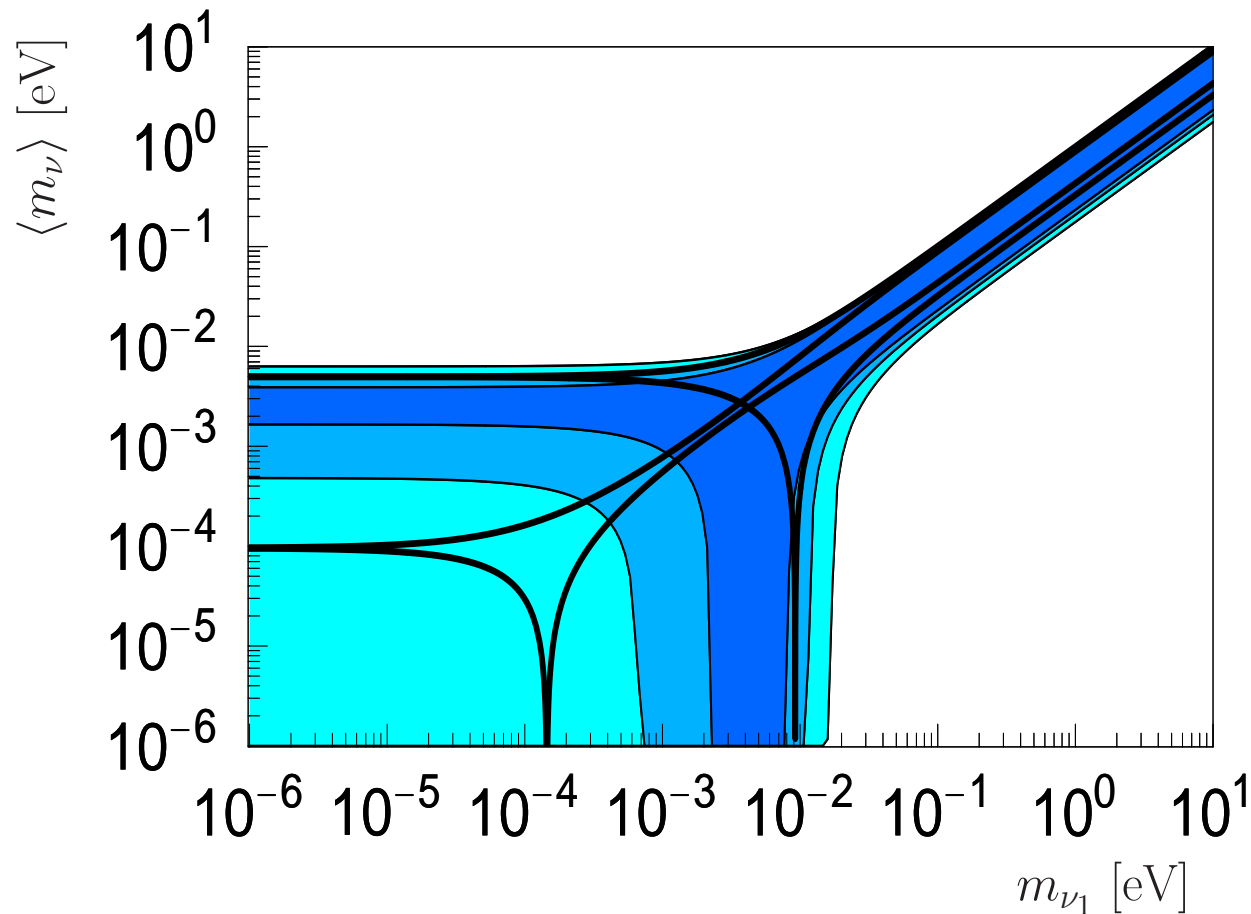
# Future lower limit?



Current lower limit @  $3\sigma$  c.l.:  $\langle m_\nu \rangle \simeq 7.5 \text{ meV}$

@  $2\sigma$  c.l.:  $\langle m_\nu \rangle \simeq 12 \text{ meV}$

# Reactor angle and $0\nu\beta\beta$

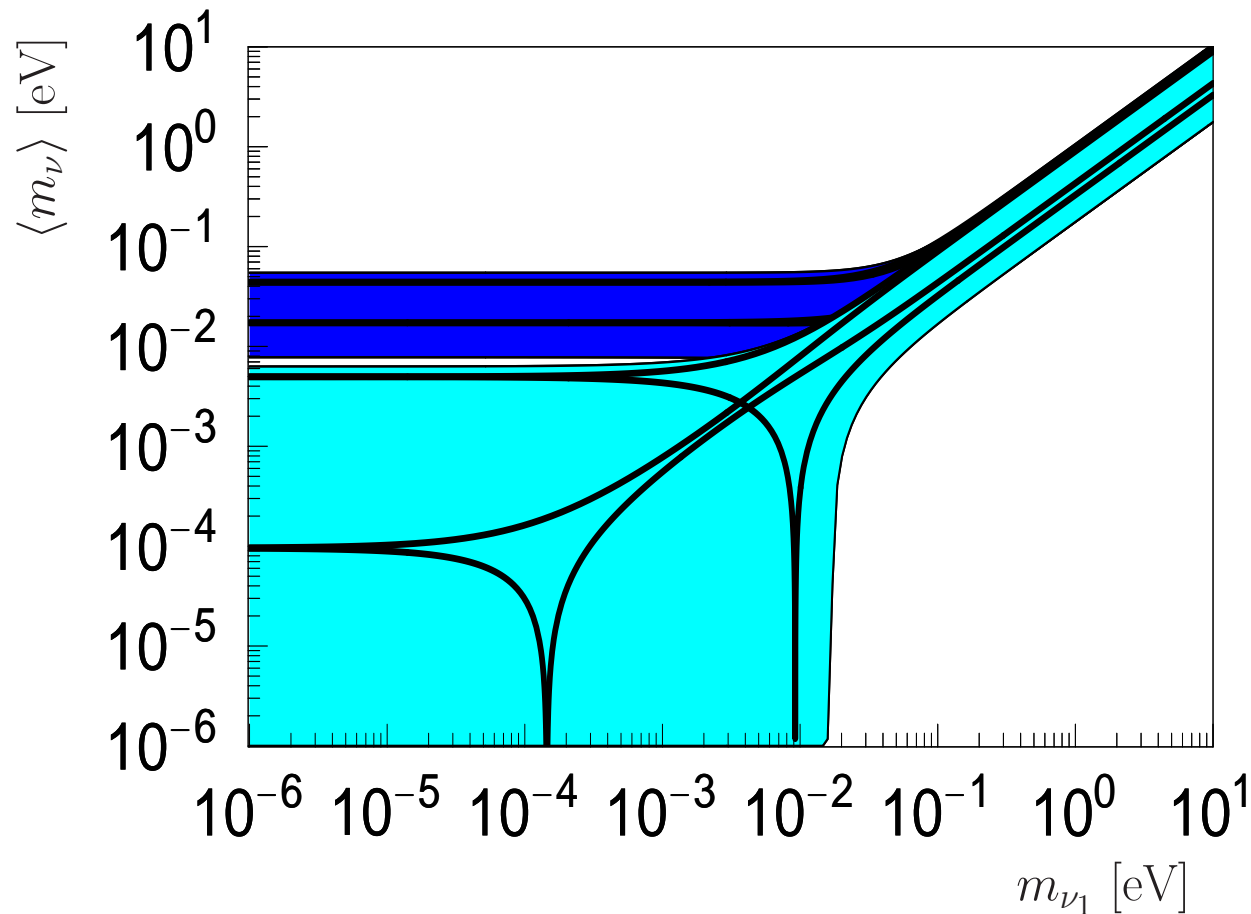


$$\sin^2 \theta_R =$$

- [0, 0.051]
- [0, 0.025]
- [0, 0.0051]

$$\Delta m_{Atm}^2 = [1.4, 3.3] \cdot 10^{-3} \text{ eV}^2, \quad \Delta m_{\odot}^2 = [7.2, 9.1] \cdot 10^{-5} \text{ eV}^2,$$
$$\sin^2 \theta_{\odot} = [0.23, 0.38],$$

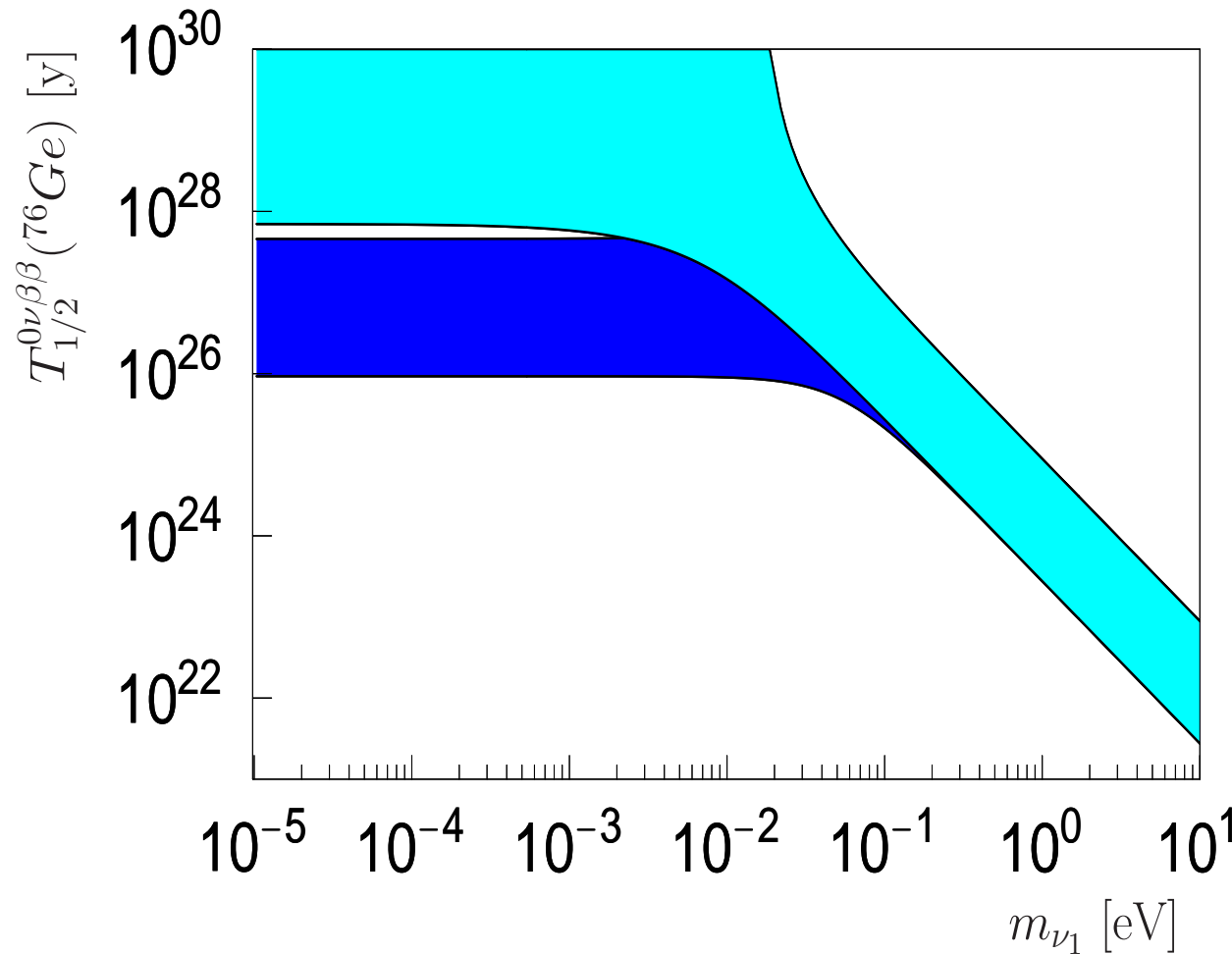
# Normal + inverse hierarchy



$$\Delta m_{Atm}^2 = [1.4, 3.3] \cdot 10^{-3} \text{ eV}^2, \quad \Delta m_{\odot}^2 = [7.2, 9.1] \cdot 10^{-5} \text{ eV}^2,$$

$$\sin^2 \theta_{\odot} = [0.23, 0.38], \quad \sin^2 \theta_R = [0, 0.051]$$

# $T_{1/2}^{0\nu\beta\beta}$ and $m_\nu$

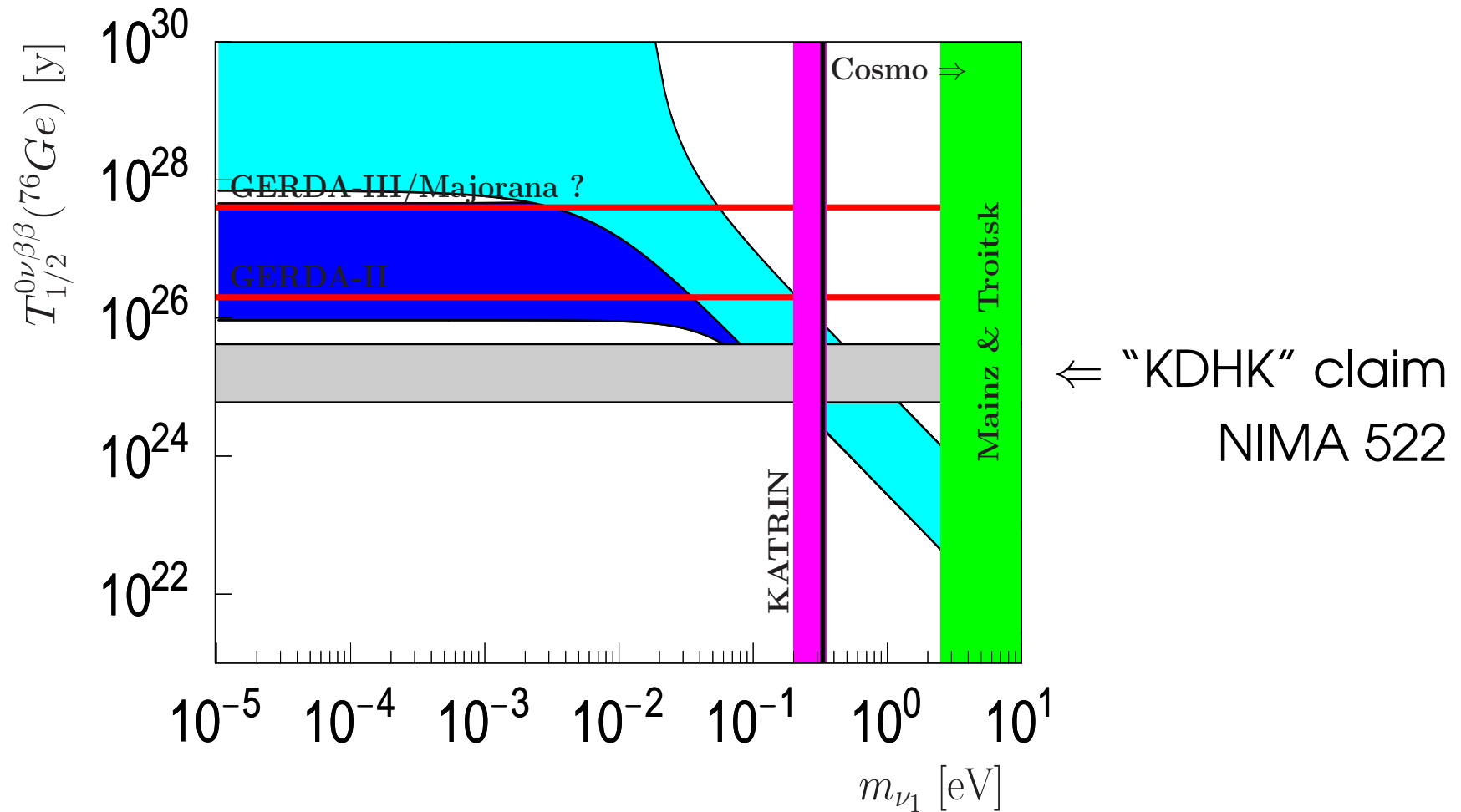


$M_{m_\nu}$  from  
K. Muto,  
PLB **391**, 243

$$\Delta m_{Atm}^2 = [1.4, 3.3] \cdot 10^{-3} \text{ eV}^2, \quad \Delta m_{\odot}^2 = [7.2, 9.1] \cdot 10^{-5} \text{ eV}^2,$$

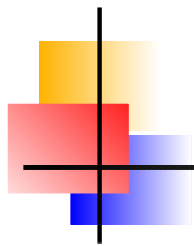
$$\sin^2 \theta_{\odot} = [0.23, 0.38], \quad \sin^2 \theta_R = [0, 0.051]$$

# Current data: $0\nu\beta\beta$ and $m_\nu$



$$\Delta m_{Atm}^2 = [1.4, 3.3] \cdot 10^{-3} \text{ eV}^2, \quad \Delta m_{\odot}^2 = [7.2, 9.1] \cdot 10^{-5} \text{ eV}^2,$$

$$\sin^2 \theta_{\odot} = [0.23, 0.38], \quad \sin^2 \theta_R = [0, 0.051]$$



*IV.*

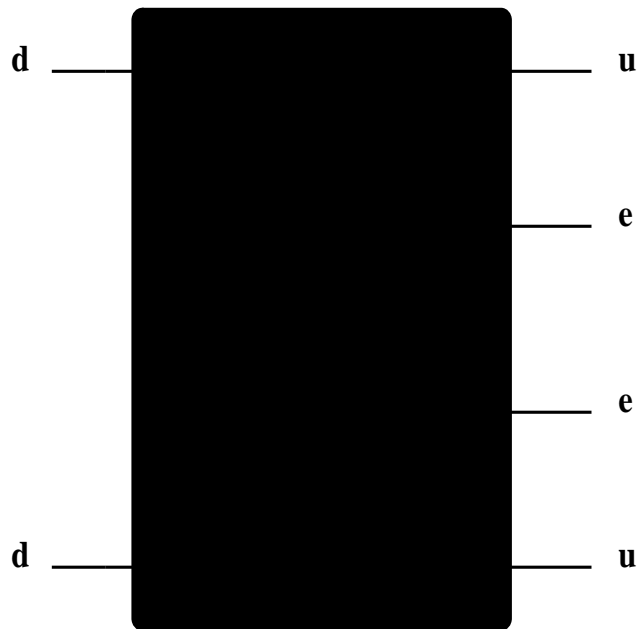
# Lepton Number Violation and $0\nu\beta\beta$

# Black Box I.

An ideal experiment detects appearance of **two electrons**:

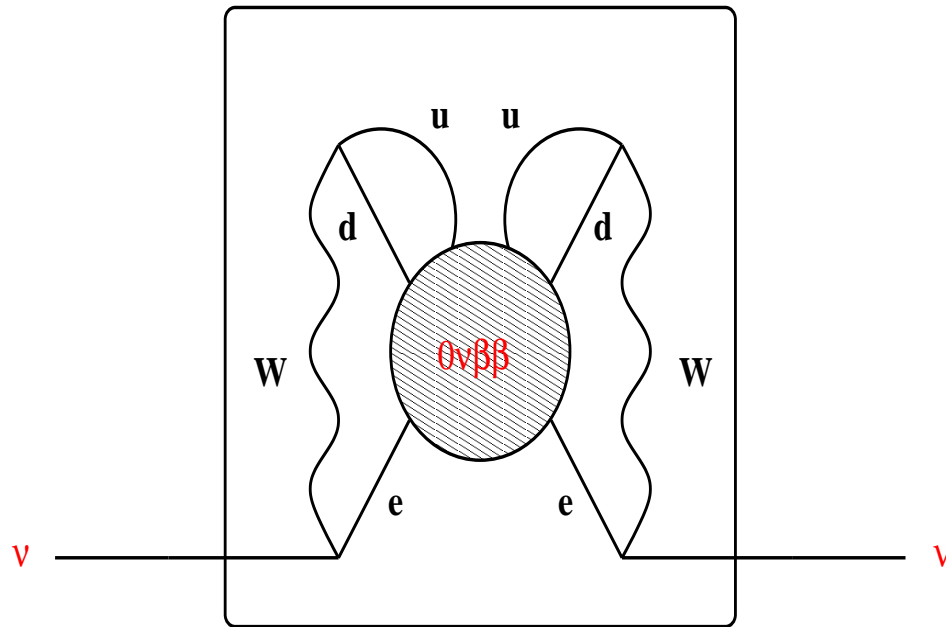
$$L = 0 \quad \Rightarrow \quad L = 2?$$

Observables?



- i) Sum energy  $\Rightarrow 0\nu\beta\beta$
- ii) # Events  $\Rightarrow$  Half-life
- iii) - (?) ... Others ... (?)

# Black Box Theorem



Schechter & Valle, PRD 1982  
Takasugi, PLB 1984

If  $0\nu\beta\beta$   
is observed  
the neutrino is a  
**Majorana particle!**

$\Rightarrow \langle m_\nu \rangle$  must appear in higher order, even if  
suppressed or absent at tree-level

$\Rightarrow$  Qualitative statement only:  
Value of  $m_\nu$  depends on model

# Again: Mass mechanism

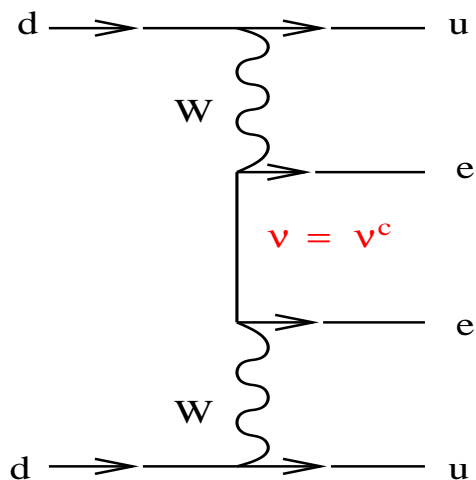
Standard model weak current at low energy:

$$\mathcal{L}^{\text{SM}} = \frac{G_F}{\sqrt{2}} j_{V-A}^\mu J_{V-A,\mu}^\dagger$$

with  $J_{V-A,\mu}^\dagger = \bar{u}\gamma_\mu P_L d$ ,  $j_{V-A}^\mu = \bar{e}\gamma^\mu P_L \nu$

⇒ Product of two  $\mathcal{L}$

+ Majorana neutrino mass:



Neutrino propagator:

$$P_L \int \frac{d^4 p}{(2\pi)^4} \frac{m_\nu + \not{p}}{p^2 - m_\nu^2} P_L$$

$$= P_L \int \frac{d^4 p}{(2\pi)^4} \frac{m_\nu}{p^2 - m_\nu^2}$$

$$\sim m_\nu$$



# Lorentz-invariant Lagrangian

Consider all possible currents ( $V, A, S, P, T$ ):

$$\begin{aligned}J_{\alpha}^{\dagger} &= \bar{u}\mathcal{O}_{\alpha}d \\j_{\alpha} &= \bar{e}\mathcal{O}_{\alpha}\nu \\j_{\alpha}^{\text{short}} &= \bar{e}\mathcal{O}_{\alpha}e^C\end{aligned}$$

Define operators  $\mathcal{O}_{\alpha,\beta}$  with definite helicity:

$$\begin{aligned}\mathcal{O}_{V-A} &= \gamma^{\mu}P_L & \mathcal{O}_{V+A} &= \gamma^{\mu}P_R \\ \mathcal{O}_{S-P} &= P_L & \mathcal{O}_{S+P} &= P_R \\ \mathcal{O}_{T_L} &= \frac{i}{2}[\gamma_{\mu}, \gamma_{\nu}]P_L & \mathcal{O}_{T_R} &= \frac{i}{2}[\gamma_{\mu}, \gamma_{\nu}]P_R\end{aligned}$$



# Lorentz-invariant Lagrangian

Write down most general  $\mathcal{L}$ :

$$\mathcal{L} = \mathcal{L}^{\text{SM}} + \mathcal{L}^{\text{long}} + \mathcal{L}^{\text{short}}$$

Standard model:

$$\mathcal{L}^{\text{SM}} = \frac{G_F}{\sqrt{2}} j_{V-A}^\mu J_{V-A,\mu}^\dagger$$

Long-range part:

$$\mathcal{L}^{\text{long}} = \frac{G_F}{\sqrt{2}} \sum_{\alpha,\beta} \epsilon_\alpha^\beta j_\beta J_\alpha^\dagger$$

with:

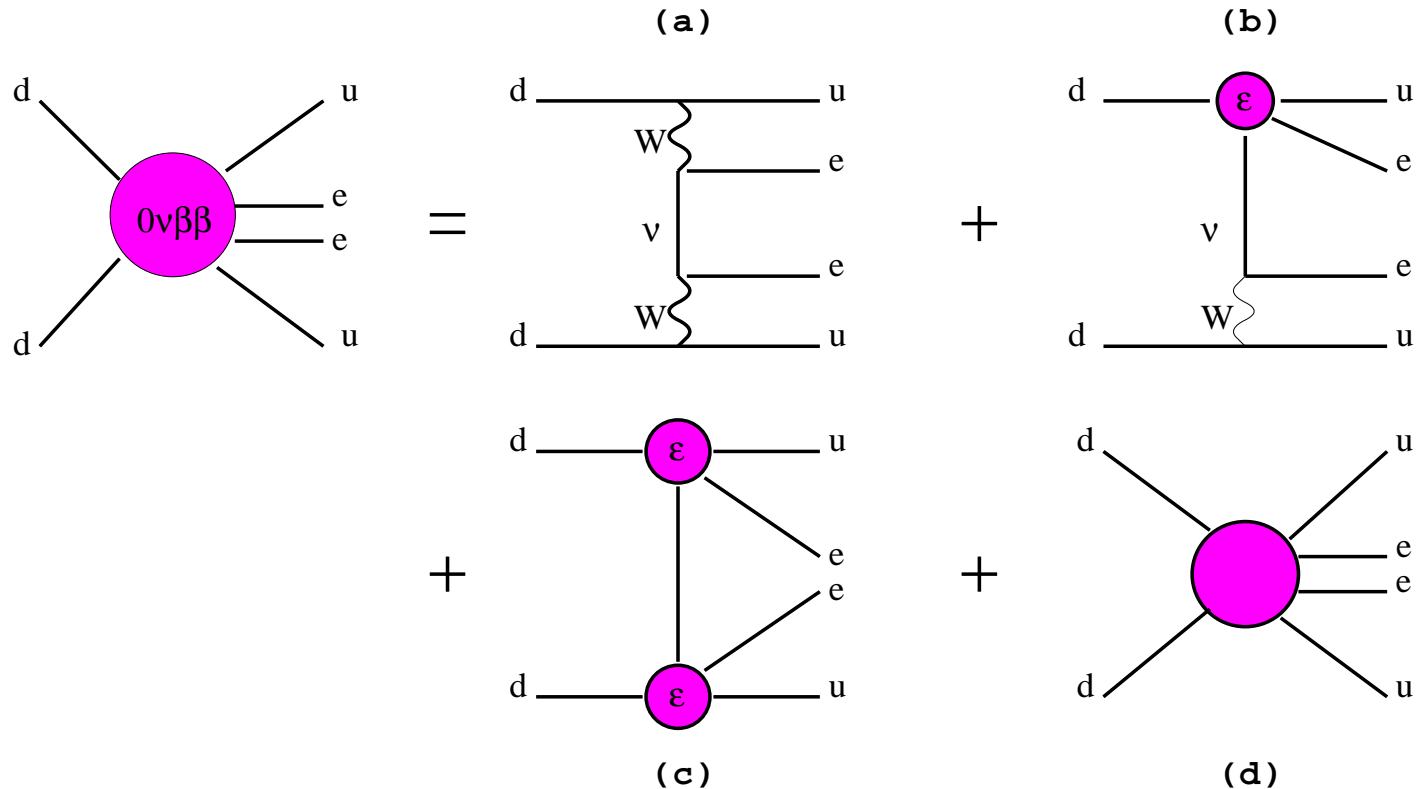
$$\begin{aligned} J_\alpha^\dagger &= \bar{u} \mathcal{O}_\alpha d, \\ j_\beta &= \bar{e} \mathcal{O}_\beta \nu \\ j_\beta^{\text{short}} &= \bar{e} \mathcal{O}_\beta e^C \end{aligned}$$

Short-range part:

$$\mathcal{L}^{\text{short}} = \frac{G_F^2}{2m_P} \sum_{\alpha,\beta\gamma} \epsilon^{\alpha\beta\gamma} j_\alpha^{\text{short}} J_\beta^\dagger J_\gamma^\dagger$$

# Lorentz-invariant Lagrangian

Graphically:



⇒ Neglect terms proportional  $\epsilon^2$

⇒ For limits, consider **only helicity enhanced terms**, i.e.  $\sim \not{p}$

Neutrino propagator:

$$P_L \int \frac{d^4 p}{(2\pi)^4} \frac{m_\nu + \not{p}}{p^2 - m_\nu^2} P_R$$

$$= P_L \int \frac{d^4 p}{(2\pi)^4} \frac{\not{p}}{p^2 - m_\nu^2}$$



$$\text{Limits: } T_{1/2}({}^{76}\text{Ge}) \geq 1.2 \cdot 10^{25} \text{ ys}$$

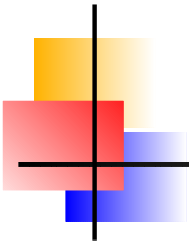
H. Päs et al., PLB 1999 and 2001:

Long range part:

Short range part:

$\epsilon_{V-A}^{V+A}$	$4.3 \cdot 10^{-9}$		$\epsilon^{S/P,S/P,S/P}$	$4 \cdot 10^{-7}$
$\epsilon_{V+A}^{V+A}$	$7.9 \cdot 10^{-7}$		$\epsilon^{T,T,S/P}$	$2.5 \cdot 10^{-9}$
$\epsilon_{S-P}^{S+P}$	$1.1 \cdot 10^{-8}$		$\epsilon^{V\pm A,V\pm A,S/P}$	$5 \cdot 10^{-8}$
$\epsilon_{S+P}^{S+P}$	$1.1 \cdot 10^{-8}$		$\epsilon^{V\pm A,V\mp A,S/P}$	$1.4 \cdot 10^{-8}$
$\epsilon_{TL}^{TR}$	$6.4 \cdot 10^{-10}$		$\epsilon^{V/A,T,V/A}$	$2.5 \cdot 10^{-8}$
$\epsilon_{TR}^{TR}$	$1.7 \cdot 10^{-9}$		$\epsilon^{V/A,S/P,V/A}$	$2.5 \cdot 10^{-7}$

⇒ Limits are “on-axis”, i.e. one  $\epsilon$  non-zero



# Limits on physics beyond the SM



# Left-right symmetric models

Translation table:

Päs et al.	DKT		limit
$\epsilon_{V-A}^{V+A}$	$\langle \eta \rangle$	$\sim \sum_j U_{ej} V_{ej} \tan \zeta$	$4.3 \cdot 10^{-9}$
$\epsilon_{V+A}^{V+A}$	$\langle \lambda \rangle$	$\sim \sum_j U_{ej} V_{ej} (m_{W_L}/m_{W_R})^2$	$7.9 \cdot 10^{-7}$
$\epsilon^{V\pm A, V\mp A, S/P}$	$\langle \xi \rangle^*$	$\sim \sum_j V_{ej}^2 / m_N (m_{W_L}/m_{W_R})^2$	$1.4 \cdot 10^{-8}$

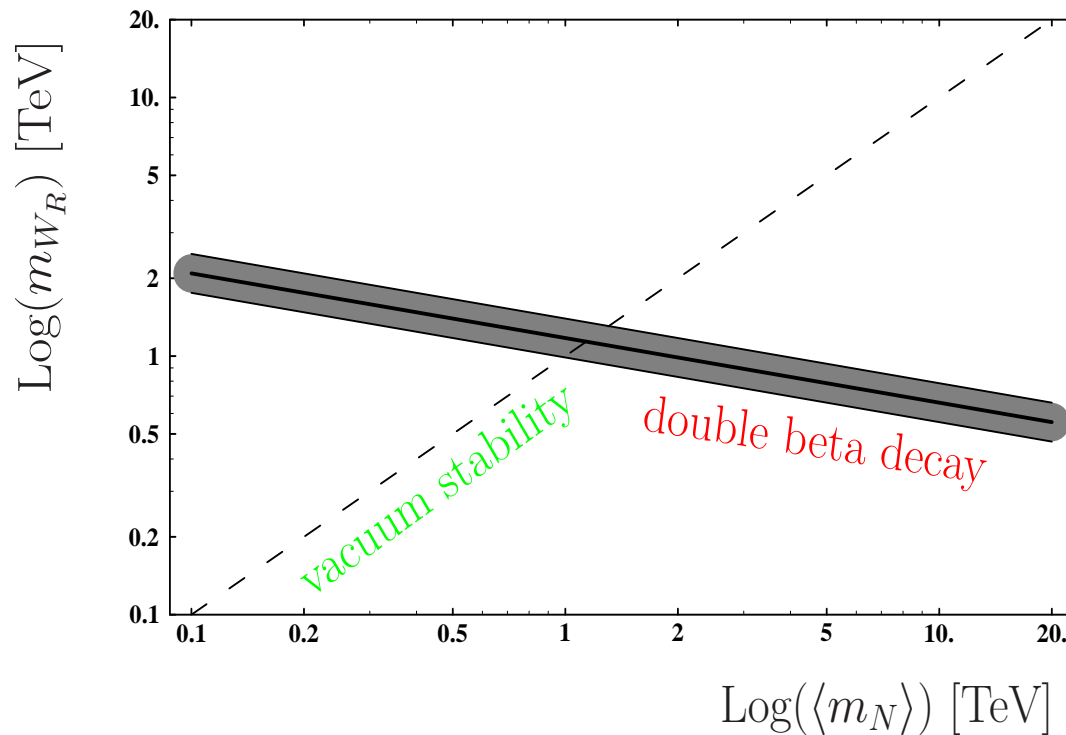
\* Notation of Hirsch et al., PLB 1996

Note:

$\Rightarrow \langle \eta \rangle$  and  $\langle \lambda \rangle$  are long-range

$\Rightarrow \langle \xi \rangle$  is short-range

# Limit on $m_{W_R}$ from $0\nu\beta\beta$



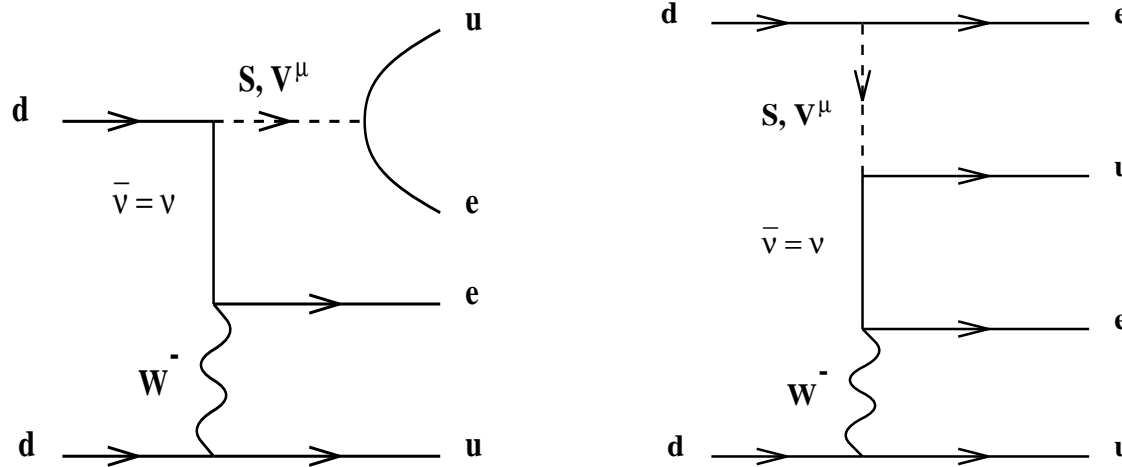
Mohapatra, PRD 1986

Hirsch et al., PLB 1996

Note: Width of  
band indicates  
NME uncertainty  
of  $\sim 2$

$$\langle \xi \rangle \Rightarrow m_{W_R} \gtrsim 1.3 \left( \frac{\langle m_N \rangle}{[1\text{TeV}]} \right)^{-1/4} \text{TeV}$$

# Leptoquarks



LQs are hypothetical particles, coupling to a quark-lepton pair

LQs can be scalars ( $S$ ) or vectors ( $V^\mu$ )

LQs can violate L (in LQ-Higgs interaction) if conserve B

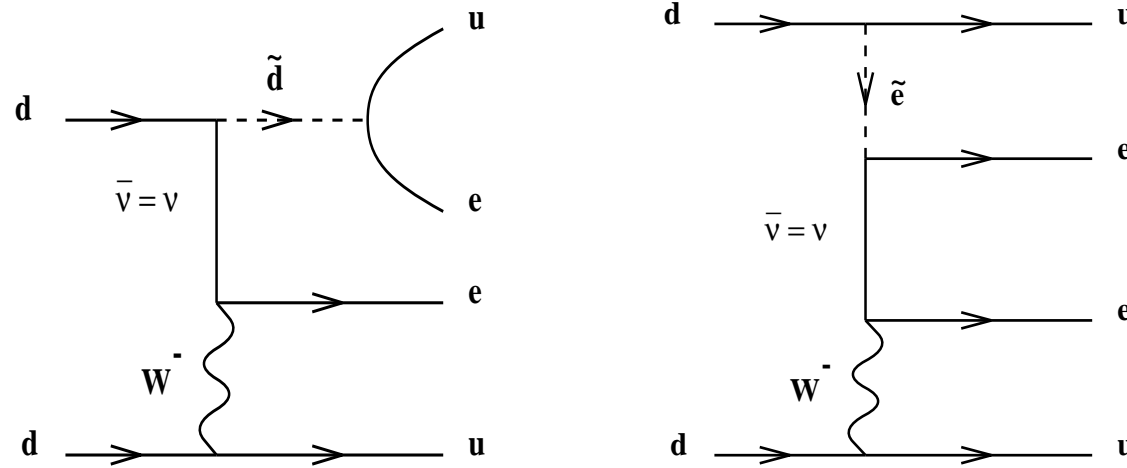
$$\begin{aligned} \mathcal{L}_{LQ}^{eff} &= (\bar{\nu}_n P_R e^c) \left[ \frac{\epsilon_S}{M_S^2} (\bar{u} P_R d) + \frac{\epsilon_V}{M_V^2} (\bar{u} P_L d) \right] - \\ &- (\bar{\nu}_n \gamma^\mu P_L e^c) \\ &\times \left[ \left( \frac{\alpha_S^{(R)}}{M_S^2} + \frac{\alpha_V^{(R)}}{M_V^2} \right) (\bar{u} \gamma_\mu P_R d) - \sqrt{2} \left( \frac{\alpha_S^{(L)}}{M_S^2} + \frac{\alpha_V^{(L)}}{M_V^2} \right) (\bar{u} \gamma_\mu P_L d) \right], \end{aligned}$$

$\Rightarrow \epsilon$  and  $\alpha$  products of LQ couplings times mixing angle

$\Rightarrow$  Example:  $\alpha_{S,V}^{(L)} \leq 2.5 \cdot 10^{-10} \left( \frac{M_{S,V}}{100 \text{ GeV}} \right)^2$

Hirsch et al. PRD 1996

# Long-range RPV SUSY



Babu & Mohapatra,  
PRL 1995

Päs et al., PLB 1999

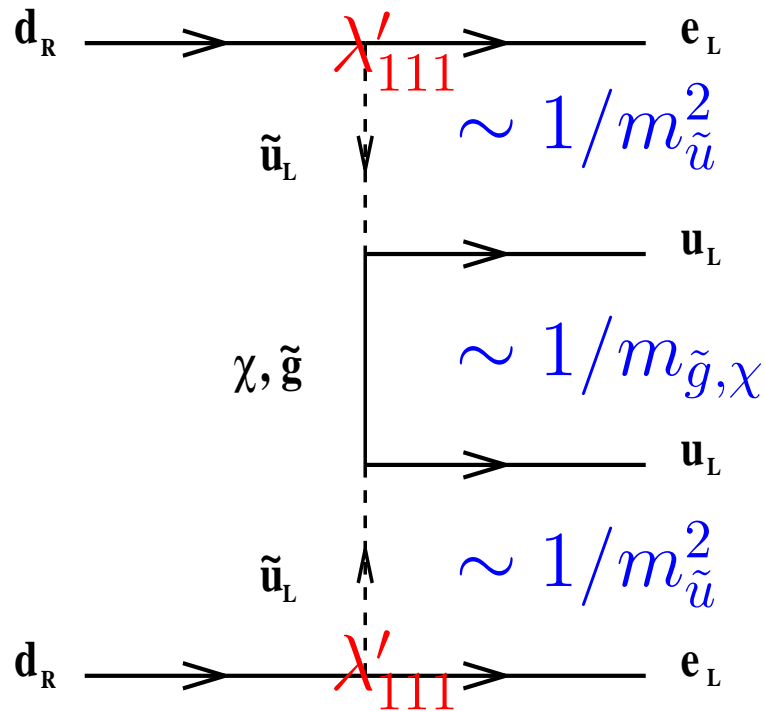
$$\Rightarrow T(^{76}\text{Ge}) \geq 1.2 \cdot 10^{25} \text{ ys}$$

$\Rightarrow$  Including tensor matrix element:

$$\lambda'_{113} \lambda'_{131} \leq 3.8 \cdot 10^{-8} \left( \frac{m_{SUSY}}{100\text{GeV}} \right)^3$$

# Short-range RPV SUSY

Only one example:



Mohapatra, PRD 1986

Vergados, PLB 1987

Hirsch et al., PRL 1995

Amplitude

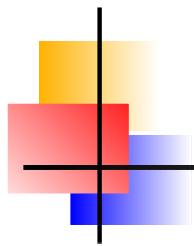
$\sim 2$  RPV vertices,

but limit

very stringent

From gluino graph:

$$\lambda'_{111} \leq 3 \times 10^{-4} \left( \frac{m_{\tilde{q}}}{100\text{GeV}} \right)^2 \left( \frac{m_g}{100\text{GeV}} \right)^{1/2}$$



$\langle m_\nu \rangle$  or BSM?



# Angular correlation

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Calculate differential width:

$$\frac{d\Gamma}{d\cos\theta} \sim (1 - K \cos\theta)$$

For LR-models:  
Doi, Kotani & Takasugi, 1985

For general LI Lagrangian:  
Ali, Borisov & Zhuridov, 2007

⇒ Advantage:  $K$  depends strongly on mechanism, but weakly on nuclear matrix elements (i.e. weakly on isotope)

⇒ Disadvantage: Many terms in general Lagrangian lead to same (or very similar) angular dependence

⇒ Disadvantage: Most experiments calorimetric measurements only, exception: NEMO-III

# Double beta plus decays

In  $\beta^+\beta^+$  three principle decay modes:

$$0\nu\beta^+\beta^+ : (Z, N) \Rightarrow (Z - 2, N) + 2e^+$$

$$0\nu\beta^+ / EC : (Z, N) + e^- \Rightarrow (Z - 2, N) + e^+$$

$$0\nu EC / EC : (Z, N) + 2e^- \Rightarrow (Z - 2, N)^*$$

For LR-models:

Hirsch et al., Z. Phys. A 1994

For general LI Lagrangian:

no publication exists

Numerical example:  $^{124}\text{Xe}$  using pn-QRPA

Mode:	$C_{mm}$	$C_{\lambda\lambda}$	$C_{\lambda\lambda}/C_{mm}$
$0\nu\beta^+\beta^+$	$8.7 \cdot 10^{-17}$	$8.5 \cdot 10^{-18}$	0.098
$0\nu\beta^+ / EC$	$1.6 \cdot 10^{-15}$	$2.2 \cdot 10^{-14}$	13.75

⇒ Advantage: Ratios (nearly) independent from nuclear matrix elements uncertainty

⇒ Disadvantage: Only  $\langle \lambda \rangle$  enhanced

⇒ Disadvantage: Even best isotopes (at least) one order of magnitude slower than best  $\beta^-\beta^-$



# Others?

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⇒ Compare rates ground state to  $2^+$

- Doi, Kotani & Takasugi, 1985: YES
- Tomoda, PLB 2000: NO for  $\langle \eta \rangle$ , maybe for  $\langle \lambda \rangle$
- Disadvantage: several o.m. slower than g.s. transitions

⇒ Compare rates ground state to  $0_1^+$

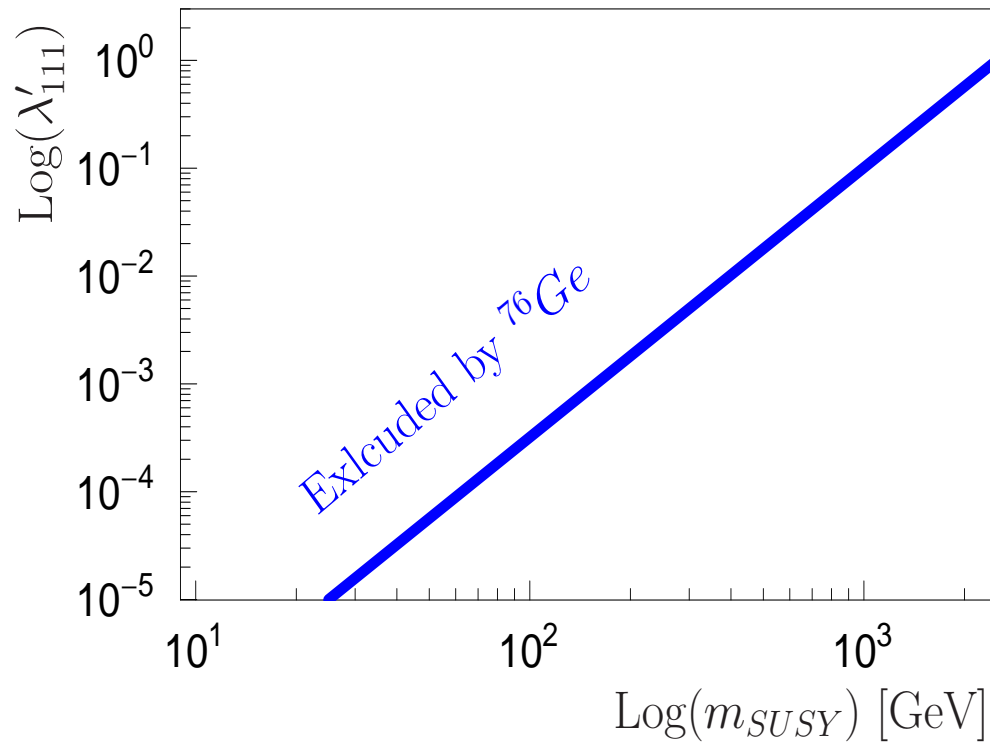
- Simkovic & Fäbber, Prog. Part. Nucl. Phys, 2002
- Disadvantage: (a) (about) 2 o.m. slower than g.s. transitions
- Disadvantage: (b) need to know matrix elements:  $\Delta M \ll 40\%$

⇒ Compare rates, different nuclei

- Päs & Deppisch, PRL 2007; Gehman & Elliott, J. Phys. G 2007
- Disadvantage: need to know matrix elements:  $\Delta M \ll x$ ,  
 $x$  differs for different particle physics, but is  
 $x = (10 - 25)\%$ , depending on number of isotopes

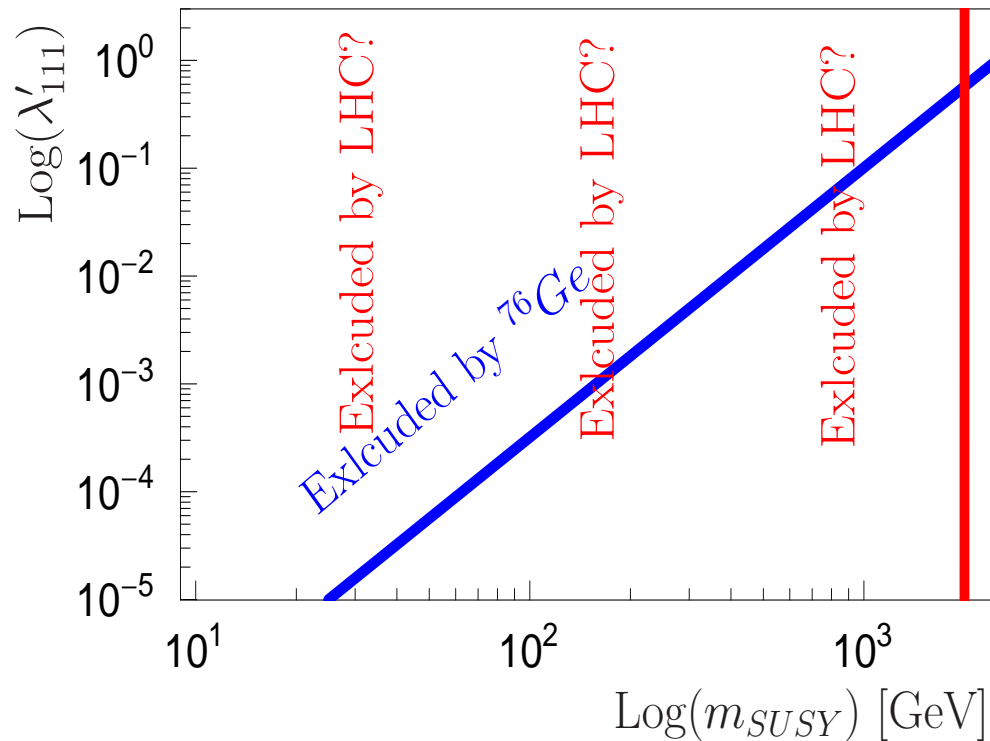
# From accelerators?

In trilinear RPV SUSY, limit from  $^{76}\text{Ge}$  excludes:



# From accelerators?

In trilinear RPV SUSY, limit from  $^{76}\text{Ge}$  excludes:



No SUSY @ LHC?  
RPV  $0\nu\beta\beta$  decay  
(nearly) excluded

⇒ Disadvantage: RPV SUSY yes, but other models?



# Conclusions

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- ⇒ All models with lepton number violation contribute to  $0\nu\beta\beta$  decay
- ⇒ **Discovery of  $0\nu\beta\beta$  decay  $\equiv$  Majorana neutrinos**
- ⇒ Current limits order  $\mathcal{O}(1/2)$  eV, see S. Schönert's talk for experimental prospects
- ⇒ **IF  $0\nu\beta\beta$  decay is discovered:**  
Which is the dominant mechanism?